

**UNITED STATES BANKRUPTCY COURT
DISTRICT OF MINNESOTA**

In re:

BKY No. 01-34638
ADV No. 02-3 046

Frank Louis Gazzola and Shirley Ann
Gazzola,

Debtors.

Randall L. Seaver, Trustee,

Plaintiff,

vs.

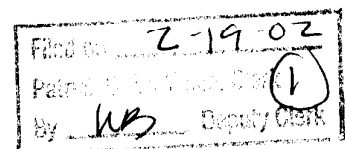
COMPLAINT

Frank Louis Gazzola,

Defendant.

Plaintiff, for his Complaint against Defendant Frank Louis Gazzola, states and alleges
as follows:

1. Randall L. Seaver is the duly qualified and acting Trustee in this case.
2. This is an action seeking a denial of discharge of the Debtor pursuant to 11 U.S.C.
§727.
3. This Court has jurisdiction over this proceeding pursuant to 11 U.S.C. §727, 28
U.S.C. §157 and 28 U.S.C. §1334.
4. This case was commenced by the filing of a voluntary petition under Chapter 7
on November 1, 2001. The §341 meeting was held on December 19, 2001.



5. Attached hereto as Exhibit A is a true and correct copy of the Debtor's Statement of Financial Affairs and the Debtor's Schedules.

6. Gazzola & Company is purported to be a general partnership whose partners are Frank Louis Gazzola and Shirley Ann Gazzola. In the year prior to commencement of this bankruptcy case, a checking account for Gazzola & Co./Frank Gazzola was maintained at Northern Star Bank, checking account number 120000104 ("Gazzola Account"). The Defendant used the Gazzola Account as a depository for personal funds, paid personal obligations from the Gazzola Account and caused transfers to be made from that account in payment of his personal obligations. In reality and effect, the Gazzola Account was the account of and property of Frank Gazzola. In reality and effect, Gazzola & Co. was and is Frank Gazzola.

7. At item 2 of Schedule B, the Defendant disclosed, as property of the bankruptcy estate, the Gazzola Account. A copy of the Gazzola Account 2001 register is attached hereto as Exhibit B.

8. The Defendant also maintained a checking account in his name at Northern Star Bank, account number 110000155 ("Frank Gazzola Account").

9. At item 3 of the statement of financial affairs, Frank Gazzola disclosed payments to Joe Cuomo, Karin Merkert, Clarence Herbst, Wanda OttenBorg, the D'Antoni Trust, Onaga Bank, Olga Cuomo, Nicholas Cuomo, Gabrielle Gazzola, and Samuel Gazzola, as payments made to creditors within relevant time periods. Most of those payments were made from the Gazzola Account.

10. At item 10 of the Statement of Financial Affairs, Frank Gazzola disclosed certain transfers made in the year prior to commencement of the case.

11. The Defendant, at Schedule B, did not disclose the ownership of any vehicles, and, at item 14 of the Statement of Financial Affairs, did not disclose any property held for another person, and at Schedule G of the Statement of Financial Affairs, did not disclose any leases.

12. The Defendant knowingly and fraudulently, and in connection with this bankruptcy case made material omissions, false oaths and accounts in his schedules and statement of financial affairs including, but not limited to, the following:

- a. The Defendant did not disclose transfers to Northern Star Bank of interests in stock owned by Frank Gazzola in Catalytic Combustion Corporation, Northern Star Financial, Inc., and DMC Stratex made within one year prior to commencement of the bankruptcy case.
- b. The Defendant did not disclose that the Debtors were in possession of a Cadillac automobile which Cadillac was one of the same vehicles which the Debtors had disclosed that they had sold pre-petition.
- c. While the Defendant disclosed, as an asset of the estate, the Gazzola Account, and disclosed certain payments made from that account, the Defendant did not disclose hundreds of thousands dollars of other payments which were made from that account in the year prior to commencement of the case including:
 - i. The Defendant did not disclose, at item 3(b) of the Statement of Financial Affairs, payments made to various insiders from the Gazzola Account, even though the Debtor did disclose some payments made to some insiders from that account. Included in the undisclosed transfers appear to be transfers made to or for the benefit of "CCC" believed to be Catalytic Combustion Corporation ("CCC"). Frank Gazzola indicated in his Statement of Financial Affairs that he was an officer and director of CCC until approximately July, 2001. According to the Gazzola Account register, a copy of which is attached as Exhibit C, it appears that in excess of \$100,000 was paid to "CCC" or for the benefit of CCC in the one year prior to filing. None of those transfers were disclosed.

- ii. Frank Gazzola was an officer and director of Northern Star Financial, Inc., the holding company for Northern Star Bank, until, according to the Defendant's Statement of Financial Affairs, approximately August, 2001. According to the Gazzola Account register, substantial monies were paid to North Star Bank in the year prior to filing from the Gazzola Account. None of those payments were disclosed by the Defendant at item 3(b).
- d. Over \$100,000 was paid to Northern Star Bank from the Frank Gazzola Account in the year prior to filing. None of those payments were disclosed at item 3(b).
- e. The Defendant did not disclose the apparent sale and transfer of Stratex stock for an apparent amount in excess of \$50,000 within one year prior to commencement of this case.
- f. The Defendant did not disclose in the schedules that he was owed wages at the time of filing. On November 2, 2001, one day after this case was filed, the Defendant, apparently deposited a check for over \$2,300 into the Frank Gazzola Account which was apparently a payment to the Defendant from Phenix, of salary.
- g. The Defendant did not disclose ownership of a treadmill, stationery bikes, bicycles, and antique school seats.
- h. The Defendant did not disclose, at item 2 of the statement of financial affairs, all interest received in 2001, including apparently over \$2,000 on the Richard Lundin note.
- i. The Defendant did not disclose a transfer to Merchants State Bank of Lewisville in July, 2001 of an interest in a stock certificate for Phenix Composites, Inc.

13. The Trustee believes and alleges that Gazzola & Co. is one and the same as Frank Gazzola and that the Gazzola Account was, at all relevant times, in reality, an account of Defendant Frank Gazzola as indicated by the foregoing paragraphs, and the Trustee believes and alleges that the disclosures set forth in paragraph 12c were required to be made in the Defendant's Schedules and Statement of Financial Affairs.

14. If Gazzola & Co. and Frank Gazzola had not been one and the same, and if the Gazzola Account was not in reality an account of Frank Gazzola, then Frank Gazzola would have had to disclose in the Statement of Financial Affairs all transfers that he made to the supposed partnership of Gazzola & Co. in the year prior to filing. Those transfers, according to the Gazzola Account register exceeded \$1 million. No such transfers were disclosed.

15. At the December 19, 2001 Chapter 7 §341 meeting the Defendant made various false statements while under oath, including, but not limited to the following:

- a. When the Debtor was asked about transfers made in the year prior to filing, he did not disclose the transfers to Northern Star Bank of interests in stock and by him, nor did he disclose hundreds of thousands of dollars in transfers from the Gazzola Account to Northern Star Bank.
- b. The Defendant testified that, with minor exceptions, all of the information contained in his petition, schedules, statements, and related documents was true and correct. That testimony was false.
- c. The Defendant testified that he had not turned certain stock certificates over to creditors named Sanford. That testimony was false.
- d. The Defendant testified that he owned no antiques. That testimony was false.
- e. When questioned about a \$40,000 note owed by Richard Lundin, which was purportedly assigned to the his daughter, Nancy Hines, and son-in-law, David Hines on July 6, 2001, the Defendant indicated that he had almost certainly received payment before the assignment, probably in April or May, 2001. The Defendant's records reflect a deposit of over \$42,000 into the Frank Gazzola account on July 25, 2001, with the notation "Lundin." Copies of the relevant register and bank statements are attached hereto as Exhibit C. The Defendant's statements regarding the purported assignment and payment of the note were false.

16. The above-described actions and inactions of the Defendant constitute violations of 11 U.S.C. §727(a)(4).

WHEREFORE, the Trustee seeks an order of this Court granting the following relief:

1. That the discharge of Frank Louis Gazzola be denied pursuant to 11 U.S.C. §727;
2. That the court grant such other and further relief as may be just and equitable.

FULLER, SEAYER & RAMETTE, P.A.

Dated: February 19, 2001

By: 

Randall L. Seaver 152882
12400 Portland Avenue South, Suite 132
Burnsville, MN 55337
(952) 890-0888

Attorneys for Plaintiff

United States Bankruptcy Court

DISTRICT OF MINNESOTA

FRANK LOUIS GAZZOLA & SHIRLEY ANN GAZZOLA

In re _____
Debtor

Case No. 01-34638
(If known)

SUMMARY OF SCHEDULES

Indicate as to each schedule whether that schedule is attached and state the number of pages in each. Report the totals from Schedules A, B, D, E, F, I, and J in the boxes provided. Add the amounts from Schedules A and B to determine the total amount of the debtor's assets. Add the amounts from Schedules D, E, and F to determine the total amount of the debtor's liabilities.

| NAME OF SCHEDULE | ATTACHED (YES/NO) | NO. OF SHEETS | AMOUNTS SCHEDULED | | |
|---|----------------------|---------------|-------------------|-----------------|--------------|
| | | | ASSETS | LIABILITIES | OTHER |
| A - Real Property | YES | 1 | \$ 535,000.00 | | |
| B - Personal Property | YES | 4 | \$ 403,165.26 | | |
| C - Property Claimed As Exempt | YES | 1 | | | |
| D - Creditors Holding Secured Claims | YES | 8 | | \$ 8,953,634.00 | |
| E - Creditors Holding Unsecured Priority Claims | YES | 1 | | \$ 0.00 | |
| F - Creditors Holding Unsecured Nonpriority Claims | YES | 8 | | \$ 1,985,180.00 | |
| G - Executory Contracts and Unexpired Leases | YES | 1 | | | |
| H - Codebtors | YES | 1 | | | |
| I - Current Income of Individual Debtor(s) | YES | 1 | | | \$ 13,007.00 |
| J - Current Expenditures of Individual Debtor(s) | YES | 1 | | | \$ 9,352.00 |
| Total Number of Sheets in ALL Schedules ▶ | | 27 | | | |
| Total Assets ▶ | | | 938,165.26 | | |
| Total Liabilities ▶ | | | | 10,938,814.00 | |

EXHIBIT A

FRANK LOUIS GAZZOLA & SHIRLEY ANN GAZZOLA

01-34638

In re

Debtor

Case No.

(if known)

SCHEDULE A - REAL PROPERTY

Except as directed below, list all real property in which the debtor has any legal, equitable, or future interest, including all property owned as a co-tenant, community property, or in which the debtor has a life estate. Include any property in which the debtor holds rights and powers exercisable for the debtor's own benefit. If the debtor is married, state whether husband, wife, or both own the property by placing an "H," "W," "J," or "C" in the column labeled "Husband, Wife, Joint, or Community." If the debtor holds no interest in real property, write "None" under "Description and Location of Property."

Do not include interests in executory contracts and unexpired leases on this schedule. List them in Schedule G - Executory Contracts and Unexpired Leases.

If an entity claims to have a lien or hold a secured interest in any property, state the amount of the secured claim. See Schedule D. If no entity claims to hold a secured interest in the property, write "None" in the column labeled "Amount of Secured Claim."

If the debtor is an individual or if a joint petition is filed, state the amount of any exemption claimed in the property only in Schedule C - Property Claimed as Exempt.

| DESCRIPTION AND LOCATION OF PROPERTY | NATURE OF DEBTOR'S INTEREST IN PROPERTY | HUSBAND, WIFE, JOINT, OR COMMUNITY | CURRENT MARKET VALUE OF DEBTOR'S INTEREST IN PROPERTY WITHOUT DEDUCTING ANY SECURED CLAIM OR EXEMPTION | AMOUNT OF SECURED CLAIM |
|---|---|------------------------------------|--|-------------------------|
| HOMESTEAD LOCATED AT 1700 MARY LANE, NO MANKATO, MN LEGALLY DESCRIBED AS: SEE ATTACHMENT | | J | 535,000.00 | 418,250.00 |
| Total ▶ | | | 535,000.00 | |

(Report also on Summary of Schedules)

FRANK LOUIS GAZZOLA
SHIRLEY ANN GAZZOLA

ATTACHMENT TO SCHEDULES A AND C

LEGAL DESCRIPTION OF HOMESTEAD:

LOTS ELEVEN (11) AND TWELVE (12), SUBDIVISION OF BLOCK FOUR (4) OF
HODAPP'S ADDITION, NORTH MANKATO, MINNESOTA, ACCORDING TO THE
PLAN THEREOF ON FILE AND OF RECORD IN THE OFFICE OF THE COUNTY
RECORDER WITHIN AND FOR NICOLLET COUNTY, MINNESOTA.

In re _____

Debtor

Case No. _____

(if known)

SCHEDULE B - PERSONAL PROPERTY

Except as directed below, list all personal property of the debtor of whatever kind. If the debtor has no property in one or more of the categories, place an "X" in the appropriate position in the column labeled "None." If additional space is needed in any category, attach a separate sheet properly identified with the case name, case number, and the number of the category. If the debtor is married, state whether husband, wife, or both own the property by placing an "H," "W," "J," or "C" in the column labeled "Husband, Wife, Joint, or Community." If the debtor is an individual or a joint petition is filed, state the amount of any exemptions claimed only in Schedule C - Property Claimed as Exempt.

Do not list interests in executory contracts and unexpired leases on this schedule. List them in Schedule G- Executory Contracts and Unexpired Leases.

If the property is being held for the debtor by someone else, state that person's name and address under "Description and Location of Property."

| TYPE OF PROPERTY | NONE | DESCRIPTION AND LOCATION OF PROPERTY | HUSBAND, WIFE, JOINT OR COMMUNITY | CURRENT MARKET VALUE OF DEBTOR'S INTEREST IN PROPERTY WITHOUT DEDUCTING ANY SECURED CLAIM OR EXEMPTION |
|--|------|--|-----------------------------------|--|
| 1. Cash on hand. | | CASH ON HAND | J | 375.00 |
| 2. Checking, savings or other financial accounts, certificates of deposit, or shares in banks, savings and loan, thrift, building and loan, and homestead associations, or credit unions, brokerage houses, or cooperatives. | | NORTHERN STAR BANK CHECKING ACCOUNT 0110000155 | H | 663.50 |
| | | NORTHERN STAR BANK CHECKING ACCOUNT 0110000163 | W | 159.15 |
| | | TCF CHECKING ACCOUNT 7851987914 | H | 25.00 |
| | | NORTHERN STAR BANK GAZZOLA & CO CHECKING ACCOUNT 120000104 | J | 80.43 |
| | | US BANK - GAZZOLA & CO. CHECKING ACCOUNT 144510598531 | J | 375.56 |
| | | WELLS FARGO CHECKING ACCOUNT 201-5136203 | W | 22.62 |
| 3. Security deposits with public utilities, telephone companies, landlords, and others. | X | | | |

SCHEDULE B - PERSONAL PROPERTY (Continuation Sheet)

| TYPE OF PROPERTY | NONE | DESCRIPTION AND LOCATION OF PROPERTY | HUSBAND, WIFE, JOINT OR COMMUNITY | CURRENT MARKET VALUE OF DEBTOR'S INTEREST IN PROPERTY WITHOUT DEDUCTING ANY SECURED CLAIM OR EXEMPTION |
|---|------|---|-----------------------------------|--|
| 4. Household goods and furnishings, including audio, video, and computer equipment. | | HOUSEHOLD GOODS/FURNISHINGS | J | 5,000.00 |
| 5. Books, pictures and other art objects, antiques, stamp, coin, record, tape, compact disc, and other collections or collectibles. | | BOOKS/COLLECTIONS | J | 850.00 |
| 6. Wearing apparel. | | WEARING APPAREL | J | 1,000.00 |
| 7. Furs and jewelry. | | TWO WATCHES - \$500.00; WEDDING RINGS \$1,000.00 | J | 1,500.00 |
| 8. Firearms and sports, photographic, and other hobby equipment. | | GOLF CLUBS | H | 350.00 |
| 9. Interests in insurance policies. Name insurance company of each policy and itemize surrender or refund value of each. | | PRUDENTIAL LIFE INSURANCE POLICY V0314273 - GROUP TERM | H | 1,730.00 |
| | | DEPT OF VETERANS AFFAIRS LIFE INSURANCE POLICY WITH A LOAN OF \$6,110.00 | H | 8,000.00 |
| 10. Annuities. Itemize and name each issuer. | X | | | |
| 11. Interests in IRA, ERISA, Keogh, or other pension or profit sharing plans. Itemize. | | IRA AT SCHWAB - ACCOUNT NO. 3597-9379 | H | 9,600.00 |
| | | CATALYTIC COMBUSTION 401(k) | H | 34,884.00 |
| 12. Stock and interests in incorporated and unincorporated businesses. Itemize. | | MANKATO GOLF CLUB MEMBERSHIP | H | 250.00 |
| | | SEE STATEMENT OF FINANCIAL AFFAIRS FOR TRANSFERS OF STOCK AND OTHER INTERESTS | | 0.00 |

FRANK LOUIS GAZZOLA & SHIRLEY ANN GAZZOLA

01-34638

In re _____
Debtor

Case No. _____
(if known)

SCHEDULE B - PERSONAL PROPERTY (Continuation Sheet)

| TYPE OF PROPERTY | CONF | DESCRIPTION AND LOCATION OF PROPERTY | HUSBAND, WIFE, JOINT OR COMMUNITY | CURRENT MARKET VALUE OF DEBTOR'S INTEREST IN PROPERTY WITHOUT DEDUCTING ANY SECURED CLAIM OR EXEMPTION |
|--|------|---|-----------------------------------|--|
| 13. Interests in partnerships or joint ventures. Itemize. | | 200 SHARES FRANK L. GAZZOLA, LTD. | | 0.00 |
| 14. Government and corporate bonds and other negotiable and non-negotiable instruments. | | BRIDGE LENDERS, LLC \$100,000.00 NOTE DATED MAY 22, 2001 | H | 100,000.00 |
| | | RANDY & KATHLEEN LEONHARDT NOTE AND MORTGAGE | H | 227,800.00 |
| | | LOAN TO PAT FREDERICKS AND MARY JANE ALM - MAY 6, 1994. MAY BE BANNED BY STATUTE OF LIMITATIONS | | 10,000.00 |
| 15. Accounts receivable. | X | | | |
| 16. Alimony, maintenance, support, and property settlement to which the debtor is or may be entitled. Give particulars. | X | | | |
| 17. Other liquidated debts owing debtor including tax refunds. Give particulars. | X | | | |
| 18. Equitable or future interests, life estates, and rights or powers exercisable for the benefit of the debtor other than those listed in Schedule of Real Property. | X | | | |
| 19. Contingent and noncontingent interests in estate or a decedent, death benefit plan, life insurance policy, or trust. | X | | | |
| 20. Other contingent and unliquidated claims of every nature, including tax refunds, counterclaims of the debtor, and rights of setoff claims. Give estimated value of each. | X | | | |
| 21. Patents, copyrights, and other intellectual property. Give particulars. | X | | | |
| 22. Licenses, franchises, and other general intangibles. Give particulars. | X | | | |

FRANK LOUIS GAZZOLA & SHIRLEY ANN GAZZOLA

01-34638

In re

Debtor

Case No.

(if known)

SCHEDULE B - PERSONAL PROPERTY
(Continuation Sheet)

| TYPE OF PROPERTY | NONE | DESCRIPTION AND LOCATION OF PROPERTY | HUSBAND, WIFE, JOINT OR COMMUNITY | CURRENT MARKET VALUE OF DEBTOR'S INTEREST IN PROPERTY WITH- OUT DEDUCTING ANY SECURED CLAIM OR EXEMPTION |
|---|------|--|--------------------------------------|--|
| 23. Automobiles, trucks, trailers, and other vehicles and accessories. | X | | | |
| 24. Boats, motors, and accessories. | X | | | |
| 25. Aircraft and accessories. | X | | | |
| 26. Office equipment, furnishings, and supplies. | X | | | |
| 27. Machinery, fixtures, equipment, and supplies used in business. | X | | | |
| 28. Inventory. | X | | | |
| 29. Animals. | X | | | |
| 30. Crops - growing or harvested. Give particulars. | X | | | |
| 31. Farming equipment and implements. | X | | | |
| 32. Farm supplies, chemicals, and feed. | X | | | |
| 33. Other personal property of any kind not already listed. | | BABE RUTH AUTOGRAPH (VALUE A GUESS, NEVER HAS BEEN APPRAISED) | H | 500.00 |
| 0 continuation sheets attached | | | | Total ▶ \$ 403,165.26 |

In re _____

Debtor

Case No. 01-34638

(if known)

SCHEDULE C - PROPERTY CLAIMED AS EXEMPT

Debtor elects the exemption to which debtor is entitled under

(Check one box)



11 U.S.C. §522(b)(1)

Exemptions provided in 11 U.S.C. §522(d). Note: These exemptions are available only in certain states.



11 U.S.C. §522(b)(2)

Exemptions available under applicable nonbankruptcy federal laws, state or local law where the debtor's domicile has been located for the 180 days immediately preceding the filing of the petition, or for a longer portion of the 180-day period than in any other place, and the debtor's interest as a tenant by the entirety or joint tenant to the extent the interest is exempt from process under applicable nonbankruptcy law.

| DESCRIPTION OF PROPERTY | SPECIFY LAW PROVIDING EACH EXEMPTION | VALUE OF CLAIMED EXEMPTION | CURRENT MARKET VALUE OF PROPERTY WITHOUT DEDUCTING EXEMPTIONS |
|---|--|----------------------------|---|
| HOMESTEAD LOCATED AT 1700 MARY LANE, NO MANKATO, MN LEGALLY DESCRIBED AS: SEE ATTACHMENT | (Husb)M.S.A. § 510.01 (Wife)M.S.A. § 510.01 | 58,900.00 58,900.00 | 535,000.00 |
| HOUSEHOLD GOODS/FURNISHINGS | (Husb)MS § 550.37(4)(b) (Wife)MS § 550.37(4)(b) | 2,500.00 2,500.00 | 5,000.00 |
| BOOKS/COLLECTIONS | (Husb)MS § 550.37(4)(b) (Wife)MS § 550.37(4)(b) | 425.00 425.00 | 850.00 |
| WEARING APPAREL | (Husb)MS § 550.37(4)(a) (Wife)MS § 550.37(4)(a) | 500.00 500.00 | 1,000.00 |
| PRUDENTIAL LIFE INSURANCE POLICY V0314273 - GROUP TERM | (Husb)MS § 550.37(24) | 1,730.00 | 1,730.00 |
| DEPT OF VETERANS AFFAIRS LIFE INSURANCE POLICY WITH A LOAN OF \$6,410.00 | (Husb)MS § 550.37(24) | 5,470.00 | 8,000.00 |
| IRA AT SCHWAR - ACCOUNT NO. 3597-9379 | (Husb)MS § 550.37(24) | 9,600.00 | 9,600.00 |
| CATALYTIC COMBUSTION 401(k) | (Husb)MS § 550.37(24) | 34,884.00 | 34,884.00 |

FRANK LOUIS GAZZOLA
SHIRLEY ANN GAZZOLA

ATTACHMENT TO SCHEDULES A AND C

LEGAL DESCRIPTION OF HOMESTEAD:

LOTS ELEVEN (11) AND TWELVE (12), SUBDIVISION OF BLOCK FOUR (4) OF
HODAPP'S ADDITION, NORTH MANKATO, MINNESOTA, ACCORDING TO THE
PLAN THEREOF ON FILE AND OF RECORD IN THE OFFICE OF THE COUNTY
RECORDER WITHIN AND FOR NICOLLET COUNTY, MINNESOTA.

FRANK LOUIS GAZZOLA AND
SHIRLEY ANN GAZZOLA

BANKRUPTCY 01-34638

SUPPLEMENT TO SCHEDULE D

On the following pages of Schedule D, Debtors have listed the value of the collateral as \$0.00. Debtors cannot accurately determine the value of the assets which comprise the collateral. Below is a description of the collateral securing some of the Schedule D creditors. Most of the assets listed below are not included on Schedule B since they have been transferred as part of the "Ferguson/Baker" settlement in July, 2001.

| <u>Stocks</u> | <u>Shares</u> |
|----------------------------------|---------------|
| Catalytic Combustion Corporation | 1,840.8 |
| DMC Stratex Networks | 51,000 |
| Frank L. Gazzola, Chartered | 200 |
| Northern Star Financial, Inc. | 32,700 |
| Phenix Biocomposites, Inc. | 18,936 |
| Phenix Biocomposites, LLC | 104,029.71 |
| Phenix Composites, Inc. | 35,117 |

Other

| | |
|--|------------|
| PBL Investments LLP | 10% |
| Phenix Biocomposites, LLC-Debentures | \$ 470,000 |
| Phenix Biocomposites, LLC-Notes Receivable | \$ 500,000 |

In re

Debtor

Case No.

(if known)

SCHEDULE D - CREDITORS HOLDING SECURED CLAIMS

State the name, mailing address, including zip code, and account number, if any, of all entities holding claims secured by property of the debtor as of the date of filing of the petition. List creditors holding all types of secured interests such as judgment liens, garnishments, statutory liens, mortgages, deeds of trust, and other security interests. List creditors in alphabetical order to the extent practicable. If all secured creditors will not fit on this page, use the continuation sheet provided.

If any entity other than a spouse in a joint case may be liable on a claim, place an "X" in the column labeled "Codebtor," include the entity on the appropriate schedule of creditors, and complete Schedule H - Codebtors. If a joint petition is filed, state whether husband, wife, both of them, or the marital community may be liable on each claim by placing an "H", "W", "J", or "C" in the column labeled "Husband, Wife, Joint, or Community".

If the claim is contingent, place an "X" in the column labeled "Contingent". If the claim is unliquidated, place an "X" in the column labeled "Unliquidated". If the claim is disputed, place an "X" in the column labeled "Disputed". (You may need to place an "X" in more than one of these three columns).

Report total of all claims listed on this schedule in the box labeled "Total" on the last sheet of the completed schedule. Report this total also on the Summary of Schedules.

☐ Check this box if debtor has no creditors holding secured claims to report on this Schedule D.

| CREDITOR'S NAME AND MAILING ADDRESS INCLUDING ZIP CODE | CODEBTOR HUSBAND, WIFE, JOINT OR COMMUNITY | DATE CLAIM WAS INCURRED, NATURE OF LIEN, AND DESCRIPTION AND MARKET VALUE OF PROPERTY SUBJECT TO LIEN | CONTINGENT | UNLIQUIDATED | DISPUTED | AMOUNT OF CLAIM WITHOUT DEDUCTING VALUE OF COLLATERAL | UNSECURED PORTION, IF ANY |
|--|---|---|------------|--------------|----------|---|---------------------------|
| ACCOUNT NO. | | Security: PLEDGE AGREEMENT | | | | | 148,000.00 |
| BORG WANDA OTTEN 6145 WOODSIDE SE SALEM OR 97306 | | | | | | 148,000.00 | |
| | | Value \$ 0.00 | | | | | |
| ACCOUNT NO. | | Security: PLEDGE AGREEMENT | | | | | 75,000.00 |
| CUOMO JOSEPH 4 GLADYS DRIVE SPRING VALLEY NY 10977 | | | | | | 75,000.00 | |
| | | Value \$ 0.00 | | | | | |
| ACCOUNT NO. | | Security: PLEDGE AGREEMENT | | | | | 120,000.00 |
| CUOMO NICHOLAS & EILEEN 935 GLENWOOD WEST HEMPSTEAD NY 11552 | | | | | | 120,000.00 | |
| | | Value \$ 0.00 | | | | | |
| ACCOUNT NO. | | Security: PLEDGE AGREEMENT | | | | | 100,000.00 |
| CUOMO OLGA & PAT 935 CLEVELAND WEST HEMPSTEAD NY 11552 | | | | | | 100,000.00 | |
| | | Value \$ 0.00 | | | | | |

7

Continuation sheets attached

| | |
|----------------------------------|---------------|
| Subtotal (Total of this page) | \$ 443,000.00 |
| Total (Use only on last page) | \$ |

(Report total also on Summary of Schedules)

In re FRANK LOUIS GAZZOLA & SHIRLEY ANN GAZZOLA
Debtor

Case No. 01-34638
(if known)

SCHEDULE D - CREDITORS HOLDING SECURED CLAIMS (Continuation Sheet)

| CREDITOR'S NAME AND MAILING ADDRESS INCLUDING ZIP CODE | DEBTOR HUSBAND, WIFE, JOINT OR COMMUNITY | DATE CLAIM WAS INCURRED, NATURE OF LIEN, AND DESCRIPTION AND MARKET VALUE OF PROPERTY SUBJECT TO LIEN | CONTINGENT | UNLIQUIDATED | DISPUTED | AMOUNT OF CLAIM WITHOUT DEDUCTING VALUE OF COLLATERAL | UNSECURED PORTION, IF ANY |
|---|--|---|------------|--------------|----------|---|---------------------------------|
| ACCOUNT NO. | | Security: PLEDGE AGREEMENT | | | | | |
| GRENHAGEN MARGARET 6721 - 17TH AVENUE SO RICHFIELD MN 55423 | | | | | | 19,500.00 | 19,500.00 |
| | | Value \$ 0.00 | | | | | |
| ACCOUNT NO. | | Security: PLEDGE AGREEMENT | | | | | |
| HALL SHIRLEY 64 WALDEN BURNSVILLE MN 55337 | | | | | | 609,550.00 | 609,550.00 |
| | | Value \$ 0.00 | | | | | |
| ACCOUNT NO. | | Security: PLEDGE AGREEMENT | | | | | |
| HERBST CLARENCE & ELDRED PO BOX 244 LAKE CRYSTAL MN 56055 | | | | | | 250,000.00 | 250,000.00 |
| | | Value \$ 0.00 | | | | | |
| ACCOUNT NO. | | Security: SEE ATTACHED | | | | | |
| HINES NANCY & DAVID 629 NO OAK PARK AVE OAK PARK IL 60302 | | | | | | 513,000.00 | 513,000.00 |
| | | Value \$ 0.00 | | | | | |
| ACCOUNT NO. | | Security: PLEDGE AGREEMENT | | | | | |
| HODAPP LEONE 420 EAST MAIN MANKATO MN 56001 | | | | | | 21,130.00 | 21,130.00 |
| | | Value \$ 0.00 | | | | | |

Sheet no. 1 of 7 sheets attached to Schedule of Creditors

| | |
|-------------------------|-----------------|
| Subtotal ▶ | \$ 1,413,180.00 |
| (Total of this page) | |
| Total ▶ | \$ |
| (Use only on last page) | |

(Report total also on Summary of Schedules)

FRANK LOUIS GAZZOLA & SHIRLEY ANN GAZZOLA

Case No. 01-34638

In re Debtor

(if known)

SCHEDULE D - CREDITORS HOLDING SECURED CLAIMS (Continuation Sheet)

| CREDITOR'S NAME AND MAILING ADDRESS INCLUDING ZIP CODE | CODEBTR HUSBAND, WIFE, JOINT OR UNCLAIMED | DATE CLAIM WAS INCURRED, NATURE OF LIEN, AND DESCRIPTION AND MARKET VALUE OF PROPERTY SUBJECT TO LIEN | CONTINGENT | UNLIQUIDATED | DISPUTED | AMOUNT OF CLAIM WITHOUT DEDUCTING VALUE OF COLLATERAL | UNSECURED PORTION, IF ANY |
|--|---|---|------------|--------------|----------|---|---------------------------------|
| ACCOUNT NO. | | Security: PLEDGE AGREEMENT | | | | | 475,700.00 |
| HOLTZ JOYCE ON BEHALF OF D'ANTONI FAMILY TRUST 38 CREE POINT DRIVE MANKATO MN 56001 | | | | | | 475,700.00 | |
| | | Value \$ 0.00 | | | | | |
| ACCOUNT NO. | | Security: PLEDGE AGREEMENT | | | | | 24,600.00 |
| JANZEN JOAN 112968 STONE CREEK DRIVE CHASKA MN 55318 | | | | | | 24,600.00 | |
| | | Value \$ 0.00 | | | | | |
| ACCOUNT NO. | | Security: PLEDGE AGREEMENT | | | | | 182,000.00 |
| JULIAR DARRELL & JUNE 59860 - 172ND STREET MANKATO MN 56001 | | | | | | 182,000.00 | |
| | | Value \$ 0.00 | | | | | |
| ACCOUNT NO. | | Security: 373.334 SHARES OF CATALYTIC COMBUSTIION CORP., LIFE INSURANCE DEATH BENEFIT ASSIGNED IN THE AMOUNT OF \$500,000.00 LIFE INSURANCE DEATH BENEFIT ASSIGNED TO KSA \$500,000.00 | | | | | 909,827.00 |
| KSA ARCHITECTS 401(k) TRUST 526 SO 2ND MANKATO MN 56001 | | | | | | 909,827.00 | |
| | | Value \$ 0.00 | | | | | |
| ACCOUNT NO. | | Security: PLEDGE AGREEMENT | | | | | 132,250.00 |
| LARSON MEL & JOYCE PO BOX 245 UPSALA MN 56384 | | | | | | 132,250.00 | |
| | | Value \$ 0.00 | | | | | |

Sheet no. 2 of 7 sheets attached to Schedule of Creditors

Subtotal ▶ \$ 1,724,377.00
(Total of this page)
Total ▶ \$
(Use only on last page)

(Report total also on Summary of Schedules)

In re FRANK LOUIS GAZZOLA & SHIRLEY ANN GAZZOLA
Debtor

Case No. 01-34638
(if known)

SCHEDULE D - CREDITORS HOLDING SECURED CLAIMS (Continuation Sheet)

| CREDITOR'S NAME AND MAILING ADDRESS INCLUDING ZIP CODE | CODEBTR HUSBAND, WIFE, JOINT OR COMMUNITY | DATE CLAIM WAS INCURRED, NATURE OF LIEN, AND DESCRIPTION AND MARKET VALUE OF PROPERTY SUBJECT TO LIEN | CONTINGENT | UNLIQUIDATED | DISPUTED | AMOUNT OF CLAIM WITHOUT DEDUCTING VALUE OF COLLATERAL | UNSECURED PORTION, IF ANY |
|--|--|---|------------|--------------|----------|---|---------------------------|
| ACCOUNT NO. | | Security: PLEDGE AGREEMENT | | | | 12,600.00 | 12,600.00 |
| LUNDHAGEN ERIC & KENDRA 1004 OAK TERRACE NO MANKATO MN 56003 | | | | | | | |
| | | Value \$ 0.00 | | | | | |
| ACCOUNT NO. | | Security: PLEDGE AGREEMENT | | | | 10,000.00 | 10,000.00 |
| MAIERS DOROTHY 325 PAGE AVENUE NO NO MANKATO MN 56003 | | | | | | | |
| | | Value \$ 0.00 | | | | | |
| ACCOUNT NO. | | Security: PLEDGE AGREEMENT | | | | 31,900.00 | 31,900.00 |
| MEISEL BURTON & PATT 105 WEST GLENCREST MANKATO MN 56001 | | | | | | | |
| | | Value \$ 0.00 | | | | | |
| ACCOUNT NO. | | Security: PLEDGE AGREEMENT | | | | 83,000.00 | 83,000.00 |
| MERKERT KARIN 3229 LESLIE COLORADO SPRINGS CO 80909 | | | | | | | |
| | | Value \$ 0.00 | | | | | |
| ACCOUNT NO. | | Security: PLEDGE AGREEMENT | | | | 45,700.00 | 45,700.00 |
| MICHEL MARY FAITH 3296 HWY 201 SOUTH MOUNTAIN HOME AR 72653 | | | | | | | |
| | | Value \$ 0.00 | | | | | |

Bankruptcy 2001 7/1991/2001, New Hope Software, Inc. ver. 3.10-534 - 30521

Sheet no. 3 of 7 sheets attached to Schedule of Creditors

| | |
|-------------------------|---------------|
| Subtotal ▶ | \$ 183,200.00 |
| (Total of this page) | |
| Total ▶ | \$ |
| (Use only on last page) | |

(Report total also on Summary of Schedules)

In re FRANK LOUIS GAZZOLA & SHIRLEY ANN GAZZOLA
Debtor

Case No. 01-34638
(if known)

SCHEDULE D - CREDITORS HOLDING SECURED CLAIMS (Continuation Sheet)

| CREDITOR'S NAME AND MAILING ADDRESS INCLUDING ZIP CODE | CODEBTR HUSBAND, WIFE, JOINT OR COMMUNITY | DATE CLAIM WAS INCURRED, NATURE OF LIEN, AND DESCRIPTION AND MARKET VALUE OF PROPERTY SUBJECT TO LIEN | CONTINGENT | UNLIQUIDATED | DISPUTED | AMOUNT OF CLAIM WITHOUT DEDUCTING VALUE OF COLLATERAL | UNSECURED PORTION, IF ANY |
|--|--|---|------------|--------------|----------|---|---------------------------|
| ACCOUNT NO. | | Security: PLEDGE AGREEMENT | | | | | 374,200.00 |
| MIDDLETON MARCIA & DON 21109 VIRGINIA PINE TERRACE GERMANTOWN MD 20876 | | | | | | 374,200.00 | |
| | | Value \$ 0.00 | | | | | |
| ACCOUNT NO. | | Security: PLEDGE AGREEMENT | | | | | 208,540.00 |
| MILLER JOAN ROUTE 1, BOX 129 LE SUEUR MN 56058 | | | | | | 208,540.00 | |
| | | Value \$ 0.00 | | | | | |
| ACCOUNT NO. | | Security: PLEDGE AGREEMENT | | | | | 152,600.00 |
| MILLER WILLIAM & MARY ROUTE 1, BOX 499 MADISON LAKE MN 56063 | | | | | | 152,600.00 | |
| | | Value \$ 0.00 | | | | | |
| ACCOUNT NO. | | Security: PLEDGE AGREEMENT | | | | | 82,400.00 |
| NOLAN PETER & MARY 141 WEST GLENCREST MANKATO MN 56001 | | | | | | 82,400.00 | |
| | | Value \$ 0.00 | | | | | |
| ACCOUNT NO. | | Security: BABE RUTH AUTOGRAPH - SECURITY AGREEMENT - SEE ATTACHED | | | | | 526,000.00 |
| NORGAARD LOREN & DIANE 451 LAKE AVE SO SPICER MN 56288 | | | | | | 526,000.00 | |
| | | Value \$ 0.00 | | | | | |

Sheet no. 4 of 7 sheets attached to Schedule of Creditors

Subtotal ▶ \$ 1,343,740.00
(Total of this page)
Total ▶ \$
(Use only on last page)

(Report total also on Summary of Schedules)

In re FRANK LOUIS GAZZOLA & SHIRLEY ANN GAZZOLA
DebtorCase No. 01-34638
(if known)SCHEDULE D - CREDITORS HOLDING SECURED CLAIMS
(Continuation Sheet)

| CREDITOR'S NAME AND MAILING ADDRESS INCLUDING ZIP CODE | CODEBETOR HUSBAND WIFE JOINT OR COMMUNITY | DATE CLAIM WAS INCURRED, NATURE OF LIEN, AND DESCRIPTION AND MARKET VALUE OF PROPERTY SUBJECT TO LIEN | CONTINGENT | UNLIQUIDATED | DISPUTED | AMOUNT OF CLAIM WITHOUT DEDUCTING VALUE OF COLLATERAL | UNSECURED PORTION, IF ANY |
|---|--|--|------------|--------------|----------|---|---------------------------|
| ACCOUNT NO. 22990881 NORTHERN STAR BANK 1650 MADISON AVENUE MANKATO MN 56001 | J | Security: HOMESTEAD LOCATED AT 1700 MARY LANE, NO MANKATO, MN LEGALLY DESCRIBED AS: IN NICOLLET COUNTY, MINNESOTA Value \$ 535,000.00 | | | | 20,250.00 | 0.00 |
| ACCOUNT NO. 22990732 NORTHERN STAR BANK 1650 MADISON AVENUE MANKATO MN 56001 | | Security: 51000 SHARES DMC STRATEX, 32700 SHARES OF NORTHERN STAR FINANCIAL, 1467-466 SHARES OF CATALYTIC COMBUSTION CORP Value \$ 0.00 | | | | 750,000.00 | 750,000.00 |
| ACCOUNT NO. 22990731 NORTHERN STAR BANK 1650 MAIDSON AVE MANKATO MN 56001 | | Security: SEE LOAN 22990732 Value \$ 0.00 | | | | 475,000.00 | 475,000.00 |
| ACCOUNT NO. PEIL HOWARD & VIRGINIA 22822 - 214TH WAY QUEEN CREEK AZ 85242 | | Security: PLEDGE AGREEMENT Value \$ 0.00 | | | | 64,200.00 | 64,200.00 |
| ACCOUNT NO. REEMER DONALD 605 PARK AVENUE MOUNTAIN HOME AR 72653 | | Security: PLEDGE AGREEMENT Value \$ 0.00 | | | | 93,440.00 | 93,440.00 |

Sheet no. 5 of 7 sheets attached to Schedule of Creditors

| | |
|-------------------------|-----------------|
| Subtotal ▶ | \$ 1,402,890.00 |
| (Total of this page) | |
| Total ▶ | \$ |
| (Use only on last page) | |

(Report total also on Summary of Schedules)

FRANK LOUIS GAZZOLA & SHIRLEY ANN GAZZOLA

Case No. 01-34638

In re _____
Debtor

(if known)

SCHEDULE D - CREDITORS HOLDING SECURED CLAIMS (Continuation Sheet)

| CREDITOR'S NAME AND MAILING ADDRESS INCLUDING ZIP CODE | CO-DEBTOR HUSBAND, WIFE, JOINT OR COMMUNITY | DATE CLAIM WAS INCURRED, NATURE OF LIEN, AND DESCRIPTION AND MARKET VALUE OF PROPERTY SUBJECT TO LIEN | CONTINGENT | UNLIQUIDATED | DISPUTED | AMOUNT OF CLAIM WITHOUT DEDUCTING VALUE OF COLLATERAL | UNSECURED PORTION, IF ANY |
|--|---|---|------------|--------------|----------|---|---------------------------------|
| ACCOUNT NO. | | Security: PLEDGE AGREEMENT | | | | | 58,350.00 |
| REEMER WILLIAM 106 NO. BLACKEAGLE DRIVE MANKATO MN 56001 | | | | | | 58,350.00 | |
| | | Value \$ 0.00 | | | | | |
| ACCOUNT NO. | | Security: PLEDGE AGREEMENT | | | | | 424,175.00 |
| RICHARDS THOMAS C/O JONES METAL PROD 3201 - 3RD AVENUE MANKATO MN 56001 | | | | | | 424,175.00 | |
| | | Value \$ 0.00 | | | | | |
| ACCOUNT NO. | | Security: PLEDGE AGREEMENT | | | | | 118,200.00 |
| RIVARD DICK 3589 KAHALA BAY LANE LAS VEGAS NV 89147 | | | | | | 118,200.00 | |
| | | Value \$ 0.00 | | | | | |
| ACCOUNT NO. | | Security: PLEDGE AGREEMENT | | | | | 24,040.00 |
| ROBINSON TERRY & CONNIE PO BOX 1215 MANKATO MN 56002 | | | | | | 24,040.00 | |
| | | Value \$ 0.00 | | | | | |
| ACCOUNT NO. | | Security: PLEDGE AGREEMENT - 1995 | | | | | 1,268,070.00 |
| SANFORD RAY & DOROTHY 27 RIDGEWOOD MANKATO MN 56001 | | | | | | 1,268,070.00 | |
| | | Value \$ 0.00 | | | | | |

Bankruptcy, 2001 1 1991-2001 New Hope Software, Inc. ver 3.1 10-83-1 30593

Sheet no. 6 of 7 sheets attached to Schedule of Creditors

| | |
|-------------------------|-----------------|
| Subtotal ▶ | \$ 1,892,835.00 |
| (Total of this page) | |
| Total ▶ | \$ |
| (Use only on last page) | |

(Report total also on Summary of Schedules)

In re FRANK LOUIS GAZZOLA & SHIRLEY ANN GAZZOLA
DebtorCase No. 01-34638
(if known)SCHEDULE D - CREDITORS HOLDING SECURED CLAIMS
(Continuation Sheet)

| CREDITOR'S NAME AND MAILING ADDRESS INCLUDING ZIP CODE | CREDITOR HUSBAND, WIFE, JOINT OR COMMUNITY | DATE CLAIM WAS INCURRED, NATURE OF LIEN, AND DESCRIPTION AND MARKET VALUE OF PROPERTY SUBJECT TO LIEN | CONTINGENT | UNLIQUIDATED | DISPUTED | AMOUNT OF CLAIM WITHOUT DEDUCTING VALUE OF COLLATERAL | UNSECURED PORTION, IF ANY |
|--|--|---|------------|--------------|----------|---|---------------------------------|
| ACCOUNT NO. | | Security: PLEDGE AGREEMENT | | | | 69,210.00 | 69,210.00 |
| SHUPANITZ JOANNE 499 SOUTH OAK DRIVE ANAMOSA IA 52205 | | | | | | | |
| | | Value \$ 0.00 | | | | | |
| ACCOUNT NO. | | Security: PLEDGE AGREEMENT - SEE ATTACHED | | | | 47,390.00 | 47,390.00 |
| STEWART ALAN 494 ESTADO WAY NOVATO CA 94945 | | | | | | | |
| | | Value \$ 0.00 | | | | | |
| ACCOUNT NO. | | Security: PLEDGE AGREEMENT | | | | 18,260.00 | 18,260.00 |
| THOMPSON JOAN 3808 EAST LAKE BURGEN RD ALEXANDRIA MN 56308 | | | | | | | |
| | | Value \$ 0.00 | | | | | |
| ACCOUNT NO. | | Security: PLEDGE AGREEMENT | | | | 17,552.00 | 17,552.00 |
| URE LYN 921 CHARLES AVENUE MANKATO MN 56001 | | | | | | | |
| | | Value \$ 0.00 | | | | | |
| ACCOUNT NO. 0403087631 | J | Security: HOMESTEAD LOCATED AT 1700 MARY LANE, NO MANKATO, MN LEGALLY DESCRIBED AS: IN NICOLLET COUNTY, MINNESOTA | | | | 398,000.00 | 0.00 |
| WASHINGTON MUTUAL HOME LOANS, INC. PO BO X3139 MILWAUKEE WI 53201 | | | | | | | |
| | | Value \$ 535,000.00 | | | | | |

Sheet no. 7 of 7 sheets attached to Schedule of Creditors

| | |
|-------------------------|-----------------|
| Subtotal ▶ | \$ 550,412.00 |
| (Total of this page) | |
| Total ▶ | \$ 8,953,634.00 |
| (Use only on last page) | |

(Report total also on Summary of Schedules)

FRANK LOUIS GAZZOLA AND
SHIRLEY ANN GAZZOLA

BANKRUPTCY 01-34638

SUPPLEMENT TO SCHEDULE D

1. On July 2, 2001, Frank Gazzola assigned to Loren Norgaard a \$100,000.00 promissory note dated May 22, 2001 issued by Bridge Lenders, LLC. The original principal amount is \$100,000.00.
2. On July 12, 2001, Frank and Shirley Gazzola "pledged" the following securities:

| <u>Certificate Number</u> | <u>Issuer</u> | <u>No. of Shares</u> |
|---------------------------|---|----------------------|
| 9223 | DMC Stratex Networks fka Digital Microwave Corp. | 2,500 |
| 9377 | DMC Stratex Networks fka Digital Microwave Corp. | 8,000 |
| 9378 | DMC Stratex Networks fka Digital Microwave Corp. | 8,000 |
| 9394 | DMC Stratex Networks fka Digital Microwave Corp. | 5,000 |
| 9389 | DMC Stratex Networks fka Digital Microwave Corp. | 5,000 |
| 21 | Catalytic Combustion Corp. | 373.334 |
| 55 | Catalytic Combustion Corp. | 30.8 |
| 57 | Catalytic Combustion Corp. | 400 |
| 59 | Catalytic Combustion Corp. | 1,036.666 |

were pledged to the individuals on the attached Exhibit D-1. A copy of the Pledge Agreement will be provided to the trustee.

3. On December 29, 1995, Debtors executed a security agreement – pledge of shares in favor of Raymond A. Sanford and Dorothy M. Sanford covering the following securities:

| <u>Certificate Number</u> | <u>Issuer</u> | <u>No. of Shares</u> |
|--|----------------------------|----------------------|
| Various | Innova | 2,931,632 |
| These shares were returned to Frank L. Gazzola on August 12, 1997. | | |
| Various | RHO Delta, Inc. | 82.56 |
| 16 & 35 | Phenix Biocomposites, Inc. | 22,972 |
| 2 & 34 | SGL, Inc. | 46,247 |

4. On July 2, 2001, Debtors executed a Pledge Agreement in favor of Loren Norgaard and Diane Norgaard covering the same securities described in paragraph 2 above.
5. On July 6, 2001, Debtors executed a Pledge Agreement in favor of David Hines and Nancy Hines (Nancy Hines is Debtors' daughter) for all common stock of Northern Star Financial, DMC Stratex fka Digital Microwave Corp., Catalytic Combustion Corp., all equity interest in and all subordinated debentures issued by Phenix Biocomposites, LLC, that certain promissory note in the amount of \$100,000.00 due May 22, 2002 executed by Bridge Lenders, LLC, a \$40,000.00 promissory note executed January 23, 2001 due June 30, 2001 executed by Richard Lundin.

PLEDGE AGREEMENT

THIS PLEDGE AGREEMENT, made and entered into as of this 12th day of July, 2001, by and between FRANK L. GAZZOLA and SHIRLEY A. GAZZOLA ("Pledgors") and MARK BAUMANN ("Pledgee"), as agent for the following (collectively, "Pledgee's Principals"):

| | | |
|---|--|--|
| Wanda Otten Borg 6145 Woodside S.E. Salem, OR 97306 | Joseph Cuomo 4 Gladys Drive Spring Valley, NY 10977 | Nicholas & Eileen Cuomo 935 Glenwood West Hempstead, NY 11552 |
| Olga & Pat Cuomo 935 Cleveland West Hempstead, NY 11552 | Margaret Grenhagen 6721 - 17 th Avenue So. Richfield, MN 55423 | Shirley Hall 64 Walden Burnsville, MN 55337 |
| Clarence & Eldred Herbet P.O. Box 244 Lake Crystal, MN 56055 | Leone Hodapp 420 East Main Mankato, MN 56001 | Joyce Holtz, on behalf of the D'Antoni Family Trust 38 Cree Point Drive Mankato, MN 56001 |
| Joan Janzen 112968 Stone Creek Drive Chaska, MN 55318 | Darrell & June Juliar 59860 172 nd Street Mankato, MN 56001 | Kevin & Donna Killion Route 2, Box 174 No. Mankato, MN 56003 |
| Mel & Joyce Larson P.O. Box 245 Upsala, MN 56384 | Eric & Kendra Lundhagen 1004 Oak Terrace No. Mankato, MN 56003 | Dorothy Maiers 325 Page Avenue No. No. Mankato, MN 56003 |
| Burton & Patt Meisel 105 West Glencrest Mankato, MN 56001 | Karin Merkert 3229 Leslie Colorado Springs, CO 80909 | Mary Faith Michel 3296 Hwy 201 South Mountain Home, AR 72653 |
| Marcia & Don Middleton 21109 Virginia Pine Terrace Germantown, MD 20876 | Joan Miller Route 1, Box 129 Le Sueur, MN 56058 | William & Mary Miller Route 1, Box 499 Madison Lake, MN 56063 |
| Peter & Mary Nolan 141 West Glencrest Mankato, MN 56001 | Howard & Virginia Peil 22822 - 214 th Way Queen Creek, AZ 85242 | Donald Reemer 605 Park Avenue Mountain Home, AR 72653 |
| Thomas Richards 1118 Lori Lane Mankato, MN 56001 | Terry & Connie Robinson P.O. Box 1215 Mankato, MN 56002 | Dick Rivard 3589 Kahala Bay Lane Las Vegas, NV 89147 |
| Ray & Dorothy Sanford 27 Ridgewood Mankato, MN 56001 | Joanne Shupanitz 499 South Oak Drive Anamosa, IA 52205 | Alan Stewart 494 Estado Way Novato, CA 94945 |
| Joan Thompson 3808 East Lake Burgen Road Alexandria, MN 56308 | Lyn Ure 921 Charles Avenue Mankato, MN 56001 | William Reemer 106 N. Black Eagle Drive Mankato, MN 56001 |

EXHIBIT

D 1

In re FRANK LOUIS GAZZOLA & SHIRLEY ANN GAZZOLA
Debtor

Case No. 01-34638
(if known)

SCHEDULE E - CREDITORS HOLDING UNSECURED PRIORITY CLAIMS

A complete list of claims entitled to priority, listed separately by type of priority, is to be set forth on the sheets provided. Only holders of unsecured claims entitled to priority should be listed in this schedule. In the boxes provided on the attached sheets, state the name and mailing address, including zip code, and account number, if any, of all entities holding priority claims against the debtor or the property of the debtor, as of the date of the filing of this petition.

If any entity other than a spouse in a joint case may be liable on a claim, place an "X" in the column labeled "Codebtor," include the entity on the appropriate schedule of creditors, and complete Schedule H - Codebtors. If a joint petition is filed, state whether husband, wife, both of them, or the marital community may be liable on each claim by placing an "H", "W", "J", or "C" in the column labeled "Husband, Wife, Joint, or Community".

If the claim is contingent, place an "X" in the column labeled "Contingent". If the claim is unliquidated, place an "X" in the column labeled "Unliquidated". If the claim is disputed, place an "X" in the column labeled "Disputed". (You may need to place an "X" in more than one of these three columns).

Report total of all claims listed on each sheet in the box labeled "Subtotal" on each sheet. Report the total of all claims listed on ☒ this Schedule E in the box labeled "Total" on the last sheet of the completed schedule or Report in Schedule E on the Summary of Schedules.

TYPES OF PRIORITY CLAIMS (Check the appropriate box(es) below if claims in that category are listed on the attached sheets)

☐ **Extensions of credit in an involuntary case**

Claims arising in the ordinary course of the debtor's business or financial affairs after the commencement of the case but before the earlier of the appointment of a trustee or the order for relief. 11 U.S.C. § 507(a)(2).

☐ **Wages, salaries, and commissions**

Wages, salaries, and commissions, including vacation, severance, and sick leave pay owing to employees and commissions owing to qualifying independent sales representatives up to \$4,650* per person earned within 90 days immediately preceding the filing of the original petition, or the cessation of business, whichever occurred first, to the extent provided in 11 U.S.C. § 507(a)(3).

☐ **Contributions to employee benefit plans**

Money owed to employee benefit plans for services rendered within 180 days immediately preceding the filing of the original petition, or the cessation of business, whichever occurred first, to the extent provided in 11 U.S.C. § 507(a)(4).

☐ **Certain farmers and fishermen**

Claims of farmers and fishermen, up to \$4,650* per farmer or fisherman, against the debtor, as provided in 11 U.S.C. § 507(a)(5).

☐ **Deposits by individuals**

Claims of individuals up to \$2,100* for deposits for the purchase, lease, or rental of property or services for personal, family, or household use, that were not delivered or provided. 11 U.S.C. § 507(a)(6).

☐ **Alimony, Maintenance, or Support**

Claims of a spouse, former spouse, or child of the debtor for alimony, maintenance, or support, to the extent provided in 11 U.S.C. § 507(a)(7).

☐ **Taxes and certain other debts owed to governmental units**

Taxes, customs duties, and penalties owing to federal, state, and local governmental units as set forth in 11 U.S.C. § 507(a)(8).

☐ **Commitments to maintain the capital of an Insured Depository Institution**

Claims based on commitments to the FDIC, RTC, Director of the Office of Thrift Supervision, Comptroller of the Currency, or Board of Governors of the Federal Reserve System, or their predecessors or successors, to maintain the capital of an insured depository institution. 11 U.S.C. § 507(a)(9).

* Amounts are subject to adjustment on April 1, 2004, and every three years thereafter with respect to cases commenced on or after the date of adjustment.

0 continuation sheets attached

In re FRANK LOUIS GAZZOLA & SHIRLEY ANN GAZZOLA
Debtor

Case No. 01-34638
(if known)

SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS
(Continuation Sheet)

| CREDITOR'S NAME AND MAILING ADDRESS INCLUDING ZIP CODE | CONTINGENT HUSBAND, WIFE, JOINT OR COMMUNITY | DATE CLAIM WAS INCURRED, AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE | CONTINGENT UNLIQUIDATED DEBT | AMOUNT OF CLAIM |
|--|--|--|------------------------------------|--------------------|
| ACCOUNT NO. NORTHQUEST ROBERT & CAROL ST CROIX FALLS WI 54024 | | | | 8,800.00 |
| ACCOUNT NO. ONAGA BANK FBO ANTHONY J GIEFER 301 LEONARD ONANA KS 66521 | | | | 60,800.00 |
| ACCOUNT NO. ONAGA BANK FBO DOLORES MAIERS 301 LEONARD ONAGA KS 66521 | | | | 31,000.00 |
| ACCOUNT NO. PARTRIDGE WILLIAM S 201 NO BROAD SUITE 107 MANKATO MN 56002 0550 | | ATTORNEYS FOR ESTATE OF CAROLYN O. FERGUSON V. GAZZOLA ET AL. | | 0.00 |
| ACCOUNT NO. PRINCE ROLLIE 1020 - 17TH AVENUE BLOOMER WI 54724 | | | | 52,620.00 |
| Subtotal | | | | \$ 153,220.00 |
| Total | | | | \$ |

Sheet no. 4 of 7 sheets attached to Schedule of Creditors

(Report total also on Summary of Schedule)

In re FRANK LOUIS GAZZOLA & SHIRLEY ANN GAZZOLACase No. 01-34638

Debtor

(if known)

SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS

State the name, mailing address, including zip code, and account number, if any, of all entities holding unsecured claims without priority against the debtor or the property of the debtor, as of the date of filing of the petition. Do not include claims listed in Schedules D and E. If all creditors will not fit on this page, use the continuation sheet provided.

If any entity other than a spouse in a joint case may be liable on a claim, place an "X" in the column labeled "Codebtor," include the entity on the appropriate schedule of creditors, and complete Schedule H - Codebtors. If a joint petition is filed, state whether husband, wife, both of them, or the marital community may be liable on each claim by placing an "H", "W", "J", or "C" in the column labeled "Husband, Wife, Joint, or Community".

If the claim is contingent, place an "X" in the column labeled "Contingent". If the claim is unliquidated, place an "X" in the column labeled "Unliquidated". If the claim is disputed, place "X" in the column labeled "Disputed". (You may need to place an "X" in more than one of these three columns).

Report total of all claims listed on this schedule in the box labeled "Total" on the last sheet of the completed schedule. Report this total also on the Summary of Schedules.

☐ Check this box if debtor has no creditors holding unsecured nonpriority claims to report on this Schedule F.

| CREDITOR'S NAME AND MAILING ADDRESS INCLUDING ZIP CODE | CODEBTOR HUSBAND, WIFE, JOINT OR COMMUNITY | DATE CLAIM WAS INCURRED, AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE | CONTINGENT | UNLIQUIDATED | DISPUTED | AMOUNT OF CLAIM |
|---|--|--|------------|--------------|----------|--------------------|
| ACCOUNT NO3722 867199 71009 AMERICAN EXPRESS PO BOX 297884 FT LAUDERDALE FL 33329 7884 | | | | | | 50.00 |
| ACCOUNT NO. BAKER SUSAN 455 GROVE STREET UPPER MONTCLAIR NJ 07043 | | | | | | 140,530.00 |
| ACCOUNT NO. BERKLAND RANDALL C BLETHEN GAGE ET AL. 127 SOUTH SECOND STREET MANKATO MN 56001 | | ATTORNEYS FOR DOROTHY & RAYMOND SANFORD V. FRANK & SHIRLEY GAZZOLA | | | | 0.00 |
| ACCOUNT NO. CATALYTIC COMBUSTION CORP 709 - 21ST AVENUE BLOOMER WI 54724 | | | | | | 395,000.00 |

7

— continuation sheets attached

Subtotal \$ 535,580.00

Total \$

(Report total also on Summary of Schedules)

In re FRANK LOUIS GAZZOLA & SHIRLEY ANN GAZZOLA
Debtor

Case No. 01-34638
(if known)

SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS
(Continuation Sheet)

| CREDITOR'S NAME AND MAILING ADDRESS INCLUDING ZIP CODE | CREDITOR HUSBAND, WIFE, PART OR COMMUNITY | DATE CLAIM WAS INCURRED, AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE | CONTINGENT | SUBORDINATED | DEBUTED | AMOUNT OF CLAIM |
|---|---|--|------------|--------------|---------|--------------------|
| ACCOUNT NO. COMMUNITY SECURITY BANK 1100 - 1ST STREET NE NEW PRAGUE MN 56071 | | | | | | 22,500.00 |
| ACCOUNT NO. DACHEL DAVE 710 EIGHT AVENUE BLOOMER WI 54724 | | | | | | 90,950.00 |
| ACCOUNT NO. DARMER HOWARD 30583 HORIZON AVE CAMP DOUGLAS WI 54618 | | | | | | 3,892.00 |
| ACCOUNT NO. ERWIN HOLLY SUE 10400 VINCENT AVENUE SO BLOOMINGTON MN 55431 | | | | | | 4,650.00 |
| ACCOUNT NO. FASCHINGBAUER DONNIE 1428 X RAY DRIVE BLOOMER WI 54724 | | | | | | 72,820.00 |
| Subtotal ▶ | | | | | | \$ 194,812.00 |
| Total ▶ | | | | | | \$ |

Sheet no. 1 of 7 sheets attached to Schedule of Creditors

(Report total also on Summary of Schedule)

In re FRANK LOUIS GAZZOLA & SHIRLEY ANN GAZZOLA
Debtor

Case No. 01-34638
(if known)

SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS
(Continuation Sheet)

| CREDITOR'S NAME AND MAILING ADDRESS INCLUDING ZIP CODE | CREDITOR HUSBAND, WIFE, JOINT OR COMMUNITY | DATE CLAIM WAS INCURRED, AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE | CONTINGENT | UNLITIGATED | DISPUTED | AMOUNT OF CLAIM |
|---|--|--|------------|-------------|----------|--------------------|
| ACCOUNT NO. GAZZOLA GABRIELLE 2015 HOWARD DRIVE NO MANKATO MN 56003 | | | | | | 35,430.00 |
| ACCOUNT NO. GAZZOLA SAMUEL 2015 HOWARD DRIVE NO MANKATO MN 56003 | | | | | | 29,230.00 |
| ACCOUNT NO. HILL DANIEL D 7373 KIRKWOOD COURT SUITE 305 MPLS MN 55369 | | ATTORNEY FOR LEONHARDT - LISTED FOR NOTICE ONLY | X | X | X | 0.00 |
| ACCOUNT NO. KOEHLER BUD 13211 - 182ND AVENUE BLOOMER WI 54724 | | | | | | 126,865.00 |
| ACCOUNT NO. KOHLE LUCILLE 420 EAST MAIN MANKATO MN 56001 | | | | | | 10,500.00 |
| Subtotal ▶ | | | | | | \$ 202,025.00 |
| Total ▶ | | | | | | \$ |

Sheet no. 2 of 7 sheets attached to Schedule of Creditors

(Report total also on Summary of Schedule)

In re FRANK LOUIS GAZZOLA & SHIRLEY ANN GAZZOLA
Debtor

Case No. 01-34638
(if known)

SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS
(Continuation Sheet)

| CREDITOR'S NAME AND MAILING ADDRESS INCLUDING ZIP CODE | CREDITOR HUSBAND, WIFE, JOINT OR COMMUNITY | DATE CLAIM WAS INCURRED, AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE | CONJUNCT | UNLIQUIDATED | DISPUTED | AMOUNT OF CLAIM |
|---|--|--|----------|--------------|----------|--------------------|
| ACCOUNT NO. KSA ARCHITECTS, INC. 526 SO 2ND MANKATO MN 56001 | | | | | | 140,484.00 |
| ACCOUNT NO. LEONHARDT RANDY & KATHLEEN 7708 W 84TH ST CIRCLE RI OOMINGTON MN 55438 | | LISTED FOR NOTICE ONLY | X | X | X | 0.00 |
| ACCOUNT NO. MASLON EDELMAN BORMAN & BRAND 3300 WELLS FARGO CENTER 90 SO SEVENTH MPLS MN 55402 4140 | | | | | | 8,801.00 |
| ACCOUNT NO. 5490 9954 2810 6293 MBNA AMERICA PO BOX 15026 WILMINGTON DE 19850 5026 | | | | | | 27,750.00 |
| ACCOUNT NO. MERCHANTS STATE BANK OF LEWISVILLE PO BOX 128 LEWISVILLE MN 56060 | | | | | | 149,000.00 |
| Subtotal | | | | | | \$ 326,035.00 |
| Total | | | | | | \$ |

Sheet no. 3 of 7 sheets attached to Schedule of Creditors

(Report total also on Summary of Schedule)

In re FRANK LOUIS GAZZOLA & SHIRLEY ANN GAZZOLA
Debtor

Case No. 01-34638
(if known)

SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS
(Continuation Sheet)

| CREDITOR'S NAME AND MAILING ADDRESS INCLUDING ZIP CODE | CREDITOR HUSBAND, WIFE, JOINT OR COMMUNITY | DATE CLAIM WAS INCURRED, AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE | CONTINGENT | | AMOUNT OF CLAIM |
|---|--|--|-------------|----------|--------------------|
| | | | UNLITIGATED | DISPUTED | |
| ACCOUNT NO. RHO DELTA INC 410 JACKSON #510 MANKATO MN 56001 | | | | | 24,460.00 |
| ACCOUNT NO. RIEDY JOHN M 201 NO BROAD STREET SUITE 200 MANKATO MN 56002 0007 | | ATTORNEYS FOR KSA ARCHITECTS, INC. ET AL. V. GAZZOLA ET AL. | | | 0.00 |
| ACCOUNT NO. SEIFERT JAMES & BILLY 212 WILSON WAY MANKATO MN 56001 | | | | | 5,170.00 |
| ACCOUNT NO. SUR R CHRISTOPHER 3300 WELLS FARGO CENTER 90 SO SEVENTH ST MPLS MN 55402 4140 | | ATTORNEY FOR CAROLYN O. FERGUSON ESTATE V. GAZZOLA | | | 0.00 |
| ACCOUNT NO. THIEM PATRICIA 124 WEST 9TH MANKATO MN 56001 | | | | | 5,735.00 |
| Subtotal ▶ | | | | | \$ 35,365.00 |
| Total ▶ | | | | | \$ |

Sheet no. 5 of 7 sheets attached to Schedule of Creditors

(Report total also on Summary of Schedule)

In re FRANK LOUIS GAZZOLA & SHIRLEY ANN GAZZOLA
Debtor

Case No. 01-34638
(if known)

SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS
(Continuation Sheet)

| CREDITOR'S NAME AND MAILING ADDRESS INCLUDING ZIP CODE | CREDITOR HUSBAND, WIFE, JOINT OR COMMUNITY | DATE CLAIM WAS INCURRED, AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE | CONTINGENT | UNLIQUIDATED | DISPUTED | AMOUNT OF CLAIM |
|---|--|--|------------|--------------|----------|--------------------|
| | | | | | | |
| ACCOUNT NO. THOMAS HELENE 100 TETON LANE MANKATO MN 56001 | | | | | | 5,856.00 |
| ACCOUNT NO. PNTN 93761 UMB BANK FBO PETER NOLAN PO BOX 419692 KANSAS CITY MO 64141 6692 | | | | | | 278,000.00 |
| ACCOUNT NO. PNTN 03779 UMB BANK FBO PETER NOLAN PO BOX 419692 KANSAS CITY MO 64141 6692 | | | | | | 103,000.00 |
| ACCOUNT NO. PTNP 03753 UMB BANK FBO PETER NOLAN PO BOX 419692 KANSAS CITY MO 64141 6692 | | | | | | 84,300.00 |
| ACCOUNT NO. WAHL EDWARD T 2200 WELLS FARGO CTR 90 SO SEVENTH ST MPLS MN 55402 3901 | | ATTORNEY FOR DAVID & NANCY HINES | | | | 0.00 |

Sheet no. 6 of 7 sheets attached to Schedule of Creditors

Subtotal ▶ \$ 471,156.00
Total ▶ \$

(Report total also on Summary of Schedule)

In re FRANK LOUIS GAZZOLA & SHIRLEY ANN GAZZOLA
Debtor

Case No. 01-34638
(if known)

SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS
(Continuation Sheet)

| CREDITOR'S NAME AND MAILING ADDRESS INCLUDING ZIP CODE | CREDITOR HUSBAND, WIFE, JOINT OR COMMUNITY | DATE CLAIM WAS INCURRED, AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE | CONTINGENT | | AMOUNT OF CLAIM |
|---|--|--|-------------|----------|--------------------|
| | | | ENLITIGATED | DISPUTED | |
| ACCOUNT NO. WEIR WILLIAM 1625 - 7TH AVENUE BLOOMER WI 54724 | | | | | 66,168.00 |
| ACCOUNT NO. 4190 0877 0323 8591 WORLDPERKS VISA GOLD PO BOX 6301 FARGO ND 58125 6301 | | | | | 819.00 |
| ACCOUNT NO. | | | | | |
| | | | | | |
| ACCOUNT NO. | | | | | |
| | | | | | |
| ACCOUNT NO. | | | | | |
| | | | | | |
| Subtotal ▶ | | | | | \$ 66,987.00 |
| Total ▶ | | | | | \$ 1,985,180.00 |

Sheet no. 7 of 7 sheets attached to Schedule of Creditors

(Report total also on Summary of Schedules)

FRANK LOUIS GAZZOLA & SHIRLEY ANN GAZZOLA

01-34638

In re

Debtor

Case No.

(if known)

SCHEDULE G - EXECUTORY CONTRACTS AND UNEXPIRED LEASES

Describe all executory contracts of any nature and all unexpired leases of real or personal property. Include any timeshare interests.

State nature of debtor's interest in contract, i.e., "Purchaser," "Agent," etc. State whether debtor is the lessor or lessee of a lease.

Provide the names and complete mailing addresses of all other parties to each lease or contract described.

NOTE: A party listed on this schedule will not receive notice of the filing of this case unless the party is also scheduled in the appropriate schedule of creditors.

☒ Check this box if debtor has no executory contracts or unexpired leases.

| NAME AND MAILING ADDRESS, INCLUDING ZIP CODE OF OTHER PARTIES TO LEASE OR CONTRACT | DESCRIPTION OF CONTRACT OR LEASE AND NATURE OF DEBTOR'S INTEREST, STATE WHETHER LEASE IS FOR NONRESIDENTIAL REAL PROPERTY. STATE CONTRACT NUMBER OF ANY GOVERNMENT CONTRACT |
|---|--|
| | |

FRANK LOUIS GAZZOLA & SHIRLEY ANN GAZZOLA

01-34638

In re

Debtor

Case No.

(if known)

SCHEDULE H - CODEBTORS

Provide the information requested concerning any person or entity, other than a spouse in a joint case, that is also liable on any debts listed by debtor in the schedules of creditors. Include all guarantors and co-signers. In community property states, a married debtor not filing a joint case should report the name and address of the nondebtor spouse on this schedule. Include all names used by the nondebtor spouse during the six years immediately preceding the commencement of this case.

☒ Check this box if debtor has no codebtors.

| NAME AND ADDRESS OF CODEBTOR | NAME AND ADDRESS OF CREDITOR |
|------------------------------|------------------------------|
| | |

FRANK LOUIS GAZZOLA & SHIRLEY ANN GAZZOLA

01-34638

In re

Debtor

Case No.

(if known)

SCHEDULE I - CURRENT INCOME OF INDIVIDUAL DEBTOR(S)

The column labeled "Spouse" must be completed in all cases filed by joint debtors and by a married debtor in a chapter 12 or 13 case whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.

| | | | |
|-------------------------------------|---------------------------------|--------------------|--------------|
| Debtor's Marital Status: Married | DEPENDENTS OF DEBTOR AND SPOUSE | | |
| | NAMES No dependents | AGE | RELATIONSHIP |
| EMPLOYMENT: | | DEBTOR | |
| Occupation | | CPA - AGE 73 | |
| Name of Employer | | SEE ATTACHED | |
| How long employed | | | |
| Address of Employer | | | |
| | | SPOUSE | |
| | | HOUSEWIFE - AGE 72 | |

Income: (Estimate of average monthly income)
Current monthly gross wages, salary, and commissions
(pro rate if not paid monthly.)
Estimated monthly overtime

| DEBTOR | SPOUSE |
|--------------|---------|
| \$ 11,700.00 | \$ 0.00 |
| \$ 0.00 | \$ 0.00 |
| \$ 11,700.00 | \$ 0.00 |

SUBTOTAL**LESS PAYROLL DEDUCTIONS**

- a. Payroll taxes and social security
b. Insurance
c. Union dues
d. Other (Specify) (D)UNITED WAY

| | |
|-----------|---------|
| \$ 920.00 | \$ 0.00 |
| \$ 80.00 | \$ 0.00 |
| \$ 0.00 | \$ 0.00 |
| \$ 100.00 | \$ 0.00 |

SUBTOTAL OF PAYROLL DEDUCTIONS

| | |
|-------------|---------|
| \$ 1,100.00 | \$ 0.00 |
|-------------|---------|

TOTAL NET MONTHLY TAKE HOME PAY

| | |
|--------------|---------|
| \$ 10,600.00 | \$ 0.00 |
|--------------|---------|

Regular income from operation of business or profession or farm
(attach detailed statement)

| | |
|---------|---------|
| \$ 0.00 | \$ 0.00 |
|---------|---------|

Income from real property

| | |
|---------|---------|
| \$ 0.00 | \$ 0.00 |
|---------|---------|

Interest and dividends

| | |
|---------|---------|
| \$ 0.00 | \$ 0.00 |
|---------|---------|

Alimony, maintenance or support payments payable to the debtor for the debtor's use or that of dependents listed above.

| | |
|---------|---------|
| \$ 0.00 | \$ 0.00 |
|---------|---------|

Social security or other government assistance
(Specify) (D)SOCIAL SECURITY (S)SOCIAL SECURITY

| | |
|-------------|-----------|
| \$ 1,703.00 | \$ 704.00 |
|-------------|-----------|

Pension or retirement income

| | |
|---------|---------|
| \$ 0.00 | \$ 0.00 |
|---------|---------|

Other monthly income

| | |
|---------|---------|
| \$ 0.00 | \$ 0.00 |
|---------|---------|

(Specify)

| | |
|---------|---------|
| \$ 0.00 | \$ 0.00 |
|---------|---------|

| | |
|---------|---------|
| \$ 0.00 | \$ 0.00 |
|---------|---------|

TOTAL MONTHLY INCOME

| | |
|--------------|-----------|
| \$ 12,303.00 | \$ 704.00 |
|--------------|-----------|

TOTAL COMBINED MONTHLY INCOME \$ 13,007.00

(Report also on Summary of Schedules)

Describe any increase or decrease of more than 10% in any of the above categories anticipated to occur within the year following the filing of this document:

ACCOUNTING PRACTICE WAGES WILL LIKELY DECLINE AND PHENIX
BIOCOMPOSITES EMPLOYMNET IS EXPECTED TO END OR BE REDUCED

FRANK LOUIS GAZZOLA
SHIRLEY ANN GAZZOLA

BANKRUPTCY 01-34638

ATTACHMENT TO SCHEDULE I

| | |
|----------------------------|--|
| Frank Gazzola Employed by: | Frank L. Gazzola Chartered 410 Jackson Street Suite 510 Mankato, MN 56001 14 years |
|----------------------------|--|

and

| |
|--------------------------------------|
| Phenix Biocomposites LLC 4 months |
|--------------------------------------|

FRANK LOUIS GAZZOLA & SHIRLEY ANN GAZZOLA

In re _____, Debtor

Case No. 01-34638

(If known)

SCHEDULE J - CURRENT EXPENDITURES OF INDIVIDUAL DEBTORS

Complete this schedule by estimating the average monthly expenses of the debtor and the debtor's family. Pro rate any payments made bi-weekly, quarterly, semi-annually, or annually to show monthly rate.

☐ Check this box if a joint petition is filed and debtor's spouse maintains a separate household. Complete a separate schedule of expenditures labeled "Spouse."

| | |
|--|-------------|
| Rent or home mortgage payment (include lot rented for mobile home) | \$ 2,765.00 |
| Are real estate taxes included? Yes _____ No <u>✓</u> | |
| Is property insurance included? Yes _____ No <u>✓</u> | |
| Utilities Electricity and heating fuel | \$ 215.00 |
| Water and sewer | \$ 65.00 |
| Telephone | \$ 40.00 |
| Other CABLE | \$ 70.00 |
| Home maintenance (Repairs and upkeep) | \$ 75.00 |
| Food | \$ 600.00 |
| Clothing | \$ 150.00 |
| Laundry and dry cleaning | \$ 20.00 |
| Medical and dental expenses | \$ 100.00 |
| Transportation (not including car payments) | \$ 190.00 |
| Recreation, clubs and entertainment, newspapers, magazines, etc. | \$ 250.00 |
| Charitable contributions | \$ 240.00 |
| Insurance (not deducted from wages or included in home mortgage payments) | |
| Homeowner's or renter's | \$ 50.00 |
| Life | \$ 1,200.00 |
| Health | \$ 0.00 |
| Auto | \$ 110.00 |
| Other | \$ 0.00 |
| Taxes (not deducted from wages or included in home mortgage payments) | |
| (Specify) | \$ 225.00 |
| Installment payments (In chapter 12 and 13 cases, do not list payments to be included in the plan) | |
| Auto | \$ 0.00 |
| Other MS BANK | \$ 1,962.00 |
| Other CS BANK | \$ 1,000.00 |
| Alimony, maintenance, and support paid to others | \$ 0.00 |
| Payments for support of additional dependents not living at your home | \$ 0.00 |
| Regular expenses from operation of business, profession, or farm (attach detailed statement) | \$ 25.00 |
| Other | \$ 0.00 |

TOTAL MONTHLY EXPENSES (Report also on Summary of Schedules)

\$ 9,352.00

(FOR CHAPTER 12 AND 13 DEBTORS ONLY)

Provide the information requested below, including whether plan payments are to be made bi-weekly, monthly, annually, or at some other regular interval.

| | |
|---|---------|
| A. Total projected monthly income | \$ N.A. |
| B. Total projected monthly expenses | \$ N.A. |
| C. Excess income (A minus B) | \$ N.A. |
| D. Total amount to be paid into plan each _____ | \$ N.A. |
| (interval) | |

Form B6-0001
(12/94)In re FRANK LOUIS GAZZOLA & SHIRLEY ANN GAZZOLA
DebtorCase No. 01-34638
(If known)

DECLARATION CONCERNING DEBTOR'S SCHEDULES

DECLARATION UNDER PENALTY OF PERJURY BY INDIVIDUAL DEBTOR

I declare under penalty of perjury that I have read the foregoing summary and schedules, consisting of 28
sheets and that they are true and correct to the best of my knowledge, information and belief. (Total shown on summary page plus 1)

Date 11/26/01Signature Frank Louis Gazzola
DebtorDate 11/26/01Signature Shirley Ann Gazzola
(Joint Debtor, if any)

(If joint case, both spouses must sign)

CERTIFICATION AND SIGNATURE OF NON-ATTORNEY BANKRUPTCY PETITION PREPARER (See 11 U.S.C. § 110)

I certify that I am a bankruptcy petition preparer as defined in 11 U.S.C. § 110, that I prepared this document for compensation, and that I have provided the debtor with a copy of this document.

Printed or Typed Name of Bankruptcy Petition Preparer

Social Security No.

Address

Names and Social Security numbers of all other individuals who prepared or assisted in preparing this document:

If more than one person prepared this document, attach additional signed sheets conforming to the appropriate Official Form for each person.

X
Signature of Bankruptcy Petition Preparer

Date

A bankruptcy petition preparer's failure to comply with the provisions of title 11 and the Federal Rules of Bankruptcy Procedure may result in fines or imprisonment or both. 11 U.S.C. § 110; 18 U.S.C. § 156

FORM 7. STATEMENT OF FINANCIAL AFFAIRS
UNITED STATES BANKRUPTCY COURT
DISTRICT OF MINNESOTA

FRANK LOUIS GAZZOLA & SHIRLEY ANN
GAZZOLA

In Re

(Name)

Debtor

Case No.

01-34638

(if known)

STATEMENT OF FINANCIAL AFFAIRS

This statement is to be completed by every debtor. Spouses filing a joint petition may file a single statement on which the information for both spouses is combined. If the case is filed under chapter 12 or chapter 13, a married debtor must furnish information for both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed. An individual debtor engaged in business as a sole proprietor, partner, family farmer, or self-employed professional, should provide the information requested on this statement concerning all such activities as well as the individual's personal affairs.

Questions 1 - 18 are to be completed by all debtors. Debtors that are or have been in business, as defined below, also must complete Questions 19 - 25. If the answer to an applicable question is "None," mark the box labeled "None." If additional space is needed for the answer to any question, use and attach a separate sheet properly identified with the case name, case number (if known), and the number of the question.

DEFINITIONS

"In business." A debtor is "in business" for the purpose of this form if the debtor is a corporation or partnership. An individual debtor is "in business" for the purpose of this form if the debtor is or has been, within the six years immediately preceding the filing of this bankruptcy case, any of the following: an officer, director, managing executive, or owner of 5 percent or more of the voting or equity securities of a corporation; a partner, other than a limited partner, of a partnership; a sole proprietor or self-employed.

"Insider." The term "insider" includes but is not limited to: relatives of the debtor; general partners of the debtor and their relatives; corporations of which the debtor is an officer, director, or person in control; officers, directors, and any owner of 5 percent or more of the voting or equity securities of a corporate debtor and their relatives; affiliates of the debtor and insiders of such affiliates; any managing agent of the debtor. 11 U.S.C. § 101.

1. Income from employment or operation of business

None

☐

State the gross amount of income the debtor has received from employment, trade, or profession, or from operation of the debtor's business from the beginning of this calendar year to the date this case was commenced. State also the gross amounts received during the two years immediately preceding this calendar year. (A debtor that maintains, or has maintained, financial records on the basis of a fiscal rather than a calendar year may report fiscal year income. Identify the beginning and ending dates of the debtor's fiscal year) If a joint petition is filed, state income for each spouse separately. (Married debtors filing under chapter 12 or chapter 13 must state income of both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

AMOUNT

SOURCE (if more than one)

2001(H) 108,333.00 YEAR TO DATE

| | AMOUNT | SOURCE (if more than one) |
|---------|------------|--|
| 2000(H) | 111,472.00 | INCOME - DEBTORS TAX RETURNS ARE EXTREMELY INVOLVED AND REFLECTED TAX LOSSES FOR 2000 AND 1999 |
| 1999(H) | 114,017.00 | INCOME |

| | AMOUNT | SOURCE (if more than one) |
|---------|--------|---------------------------|
| 2001(W) | | |
| 2000(W) | 184.00 | INCOME |
| 1999(W) | 414.00 | INCOME |

2. Income other than from employment or operation of business

None ☐ State the amount of income received by the debtor other than from employment, trade, profession, or operation of the debtor's business during the two years immediately preceding the commencement of this case. Give particulars. If a joint petition is filed, state income for each spouse separately. (Married debtors filing under chapter 12 or chapter 13 must state income for each spouse whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

| | AMOUNT | SOURCE |
|---------|-------------|----------|
| 2001(H) | \$300.00 | INTEREST |
| 2000(H) | \$43,686.00 | INTEREST |

3. Payments to Creditors

None ☐ a. List all payments on loans, installment purchases of goods or services, and other debts, aggregating more than \$600 to any creditor, made within 90 days immediately preceding the commencement of this case. (Married debtors filing under chapter 12 or chapter 13 must include payments by either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

| NAME AND ADDRESS OF CREDITOR | DATES OF PAYMENTS | AMOUNT PAID | AMOUNT STILL OWING |
|------------------------------|-------------------|-------------|--------------------|
|------------------------------|-------------------|-------------|--------------------|

SEE ATTACHED

None ☐ b. List all payments made within one year immediately preceding the commencement of this case to or for the benefit of creditors, who are or were insiders. (Married debtors filing under chapter 12 or chapter 13 must include payments by either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

| NAME AND ADDRESS OF CREDITOR AND RELATIONSHIP TO DEBTOR | DATES OF PAYMENTS | AMOUNT PAID | AMOUNT STILL OWING |
|---|-------------------|-------------|--------------------|
|---|-------------------|-------------|--------------------|

SEE ATTACHED

Question 3(a).

| Name | Check No. | Amount | Date Paid |
|--------------------|---------------|-----------------|----------------------|
| Northern Star Bank | Auto Withdraw | Auto payments | 3,906.78 Various |
| Piepho Moving | 6264 | Moving | 753.5 08/14/2001 |
| Farrish Johnson | 6369 | Closing | 10,563.45 08/29/2001 |
| AICPA Ins. | Auto Withdraw | Life Ins. Prem. | 3,150.00 Various |
| Merchants St. Bank | 5482 | Mo. Payment | 1,962.38 08/20/2001 |
| Merchants St. Bank | 6329 | Mo. Payment | 1,962.38 09/17/2001 |
| Community St. Bank | 5483 | Mo. Payment | 1,000.00 08/20/2001 |
| Community St. Bank | 6330 | Mo. Payment | 1,000.00 09/17/2001 |
| Visa | Auto Withdraw | 3 months | 1,372.68 Various |
| Loren Norgaard | 5484 | | 1,887.20 08/20/2001 |
| Capital One | 5479 | Credit Card | 26.71 08/01/2001 |
| Capital One | 5485 | Credit Card | 10,000.00 08/24/2001 |
| Capital One | 6327 | Credit Card | 292.29 09/11/2001 |
| Maslon et al | 5456 | Legal | 9,566.00 08/24/2001 |
| Nicollet Co Treas | 5496 | Taxes | 689.00 10/15/2001 |
| Shirley Hall | 6321 | | 12,000.00 08/22/2001 |
| No Star Bank | 6335 | Mortgage | 2,762.70 09/28/2001 |
| Washington Mutual | Money Order | 2nd Mtg. | 13,813.50 10/26/2001 |
| John Gag | 6331 | Carpentry | 1,028.75 09/23/2001 |
| Mankato Golf Club | 5497 | Sept. | 531.33 10/27/2001 |
| Mankato Golf Club | 6332 | July/August | 952.18 09/28/2001 |
| Auto Owners Ins | 6334 | | 613.00 09/28/2001 |
| MBNA | 5474 | Credit Card | 485.76 08/21/2001 |
| MBNA | 6328 | Credit Card | 95.00 09/11/2001 |
| MBNA | 6333 | Credit Card | 492.00 09/28/2001 |
| MBNA | Money Order | Credit Card | 337.00 10/26/2001 |
| Joe Cuomo | 5834 | | 429.96 08/01/2001 |
| Joe Cuomo | 5849 | | 429.96 09/01/2001 |
| Joe Cuomo | 6735 | | 400.68 10/01/2001 |
| Karin Merkert | 5835 | | 634.44 08/01/2001 |
| Karin Merkert | 5850 | | 634.44 09/01/2001 |
| Karin Merkert | 6736 | | 613.97 10/01/2001 |
| Clarence Herbst | 5840 | | 1,200.00 08/01/2001 |
| Clarence Herbst | 5855 | | 1,200.00 09/01/2001 |
| Clarence Herbst | 6741 | | 1,200.00 10/01/2001 |
| Wanda OttenBorg | 5841 | | 848.46 08/01/2001 |
| Wanda OttenBorg | 5856 | | 848.46 09/01/2001 |
| Wanda OttenBorg | 6742 | | 790.68 10/01/2001 |
| The D'Antoni Trust | 6745 | | 2,000.00 10/01/2001 |
| Onaga Bank | 5844 | | 213.33 08/01/2001 |
| Onaga Bank | 5858 | | 213.33 09/01/2001 |
| Onaga Bank | 6744 | | 213.33 10/01/2001 |

Frank L. Gazzola Shirley A. Gazzola

STATEMENT OF
FINANCIAL AFFAIRS

Bankruptcy 01-34638

Question 3(b)

| Paid To | Check No. | Relationship | Amount | Date Paid |
|-------------------|---------------|------------------|----------|------------|
| Olga Cuomo | 5546 | Sister | 806.85 | 11/01/2000 |
| | 5580 | | 780.83 | 12/01/2000 |
| | 5625 | | 806.85 | 01/01/2001 |
| | 5669 | | 806.85 | 02/01/2001 |
| | 5700 | | 690.41 | 03/01/2001 |
| | 5730 | | 764.38 | 04/01/2001 |
| | 5769 | | 739.73 | 05/01/2001 |
| | 5796 | | 764.38 | 06/01/2001 |
| | 5824 | | 739.73 | 07/01/2001 |
| | 5839 | | 764.38 | 08/01/2001 |
| | 5854 | | 764.38 | 09/01/2001 |
| | 6740 | | 739.73 | 10/01/2001 |
| Nicholas Cuomo | 5542 | Nephew | 968.22 | 11/01/2000 |
| | 5576 | | 936.99 | 12/01/2000 |
| | 5622 | | 968.22 | 01/01/2001 |
| | 5666 | | 968.22 | 02/01/2001 |
| | 5697 | | 828.49 | 03/01/2001 |
| | 5727 | | 917.26 | 04/01/2001 |
| | 5766 | | 887.67 | 05/01/2001 |
| | 5793 | | 917.26 | 06/01/2001 |
| | 5821 | | 887.67 | 07/01/2001 |
| | 5836 | | 917.26 | 08/01/2001 |
| | 5851 | | 917.26 | 09/01/2001 |
| | 6737 | | 887.67 | 10/01/2001 |
| Patti Thiem | Bank Transfer | Daughter | 2,600.00 | 08/03/2001 |
| Gabrielle Gazzola | 5748 | Granddaughte-IRS | 662.00 | 04/12/2001 |
| | 5749 | Granddaughter-MN | 131.00 | 04/12/2001 |
| | 5777 | | 2,500.00 | 05/04/2001 |
| Samuel Gazzola | 5750 | Grandson -IRS | 493.00 | 04/12/2001 |
| | 5751 | Grandson - MN | 104.00 | 04/12/2001 |

4. Suits and administrative proceedings, executions, garnishments and attachments

None

☐

a. List all suits and administrative proceedings to which the debtor is or was a party within one year immediately preceding the filing of this bankruptcy case. (Married debtors filing under chapter 12 or chapter 13 must include information concerning either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

| CAPTION OF SUIT AND CASE NUMBER | NATURE OF PROCEEDING | COURT OR AGENCY AND LOCATION | STATUS OR DISPOSITION |
|--|----------------------|--|--------------------------|
| ESTATE OF CAROLYN O. FERGUSON BY SUSAN L. BAKER, PERSONAL REPRESENTATIVE VS. FRANK L. GAZZOLA; GAZZOLA & CO.; DAVID HINES; KSA ARCHITECTS, INC. 401(k) PLAN & TRUST; NANCY HINES; AND MARK BAUMANN | DECLARATORY JUDGMENT | COUNTY OF BLUE EARTH, FIFTH JUDICIAL DISTRICT | PENDING |
| DOROTHY M. SANFORD AND RAYMOND A. SANFORD VS. FRANK L. GAZZOLA AND SHIRLEY A. GAZZOLA | JUDGMENT | COUNTY OF BLUE EARTH, FIRST JUDICIAL DISTRICT | PENDING |
| KSA ARCHITECTS, INC. VS FRANK L. GAZZOLA, D/B/A GAZZOLA & CO., OR G & CO. KSA ARCHITECTS, INC., AS ADMINISTRATOR OF THE KSA ARCHITECTS, INC. 401(k) PROFIT SHARING PLAN AND TRUST VS FRANK L. GAZZOLA, D/B/A GAZZOLA & CO. AND/OR G & CO. | | COUNTY OF BLUE EARTH, FIFTH JUDICIAL DISTRICT | PENDING |

None ☐ b. Describe all property that has been attached, garnished or seized under any legal or equitable process within one year immediately preceding the commencement of this case. (Married debtors filing under chapter 12 or chapter 13 must include information concerning property of either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

| NAME AND ADDRESS OF PERSON FOR WHOSE BENEFIT PROPERTY WAS SEIZED | DATE OF SEIZURE | DESCRIPTION AND VALUE OF PROPERTY |
|--|--------------------|--------------------------------------|
| CATALYTIC COMBUSTION CORP. GARNISHED MY WAGES FOR A MONTH OR SO THIS SUMMER. AFTER A MONTH OR SO THEY AGREED NOT TO THROUGH DECEMBER 31, 2001. | | |

5. Repossessions, foreclosures and returns

None ☒ List all property that has been repossessed by a creditor, sold at a foreclosure sale, transferred through a deed in lieu of foreclosure or returned to the seller, within one year immediately preceding the commencement of this case. (Married debtors filing under chapter 12 or chapter 13 must include information concerning property of either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

| NAME AND ADDRESS OF CREDITOR OR SELLER | DATE OF REPOSESSION, FORECLOSURE SALE, TRANSFER OR RETURN | DESCRIPTION AND VALUE OF PROPERTY |
|--|---|--------------------------------------|
|--|---|--------------------------------------|

6. Assignments and Receiverships

None ☒ a. Describe any assignment of property for the benefit of creditors made within 120 days immediately preceding the commencement of this case. (Married debtors filing under chapter 12 or chapter 13 must include any assignment by either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

| NAME AND ADDRESS OF ASSIGNEE | DATE OF ASSIGNMENT | TERMS OF ASSIGNMENT OR SETTLEMENT |
|------------------------------------|-----------------------|---|
|------------------------------------|-----------------------|---|

- None ☒ b. List all property which has been in the hands of a custodian, receiver, or court-appointed official within one year immediately preceding the commencement of this case. (Married debtors filing under chapter 12 or chapter 13 must include information concerning property of either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

| NAME AND ADDRESS OF CUSTODIAN | NAME AND LOCATION OF COURT CASE TITLE & NUMBER | DATE OF ORDER | DESCRIPTION AND VALUE OF PROPERTY |
|-------------------------------|--|---------------|-----------------------------------|
|-------------------------------|--|---------------|-----------------------------------|

7. Gifts

- None ☒ List all gifts or charitable contributions made within one year immediately preceding the commencement of this case, except ordinary and usual gifts to family members aggregating less than \$200 in value per individual family member and charitable contributions aggregating less than \$100 per recipient. (Married debtors filing under chapter 12 or chapter 13 must include gifts or contributions by either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

| NAME AND ADDRESS OF PERSON OR ORGANIZATION | RELATIONSHIP TO DEBTOR, IF ANY | DATE OF GIFT | DESCRIPTION AND VALUE OF GIFT |
|--|--------------------------------|--------------|-------------------------------|
|--|--------------------------------|--------------|-------------------------------|

8. Losses

- None ☒ List all losses from fire, theft, other casualty or gambling within one year immediately preceding the commencement of this case or since the commencement of this case. (Married debtors filing under chapter 12 or chapter 13 must include losses by either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

| DESCRIPTION AND VALUE OF PROPERTY | DESCRIPTION OF CIRCUMSTANCES, AND, IF LOSS WAS COVERED IN WHOLE OR IN PART BY INSURANCE, GIVE PARTICULARS | DATE OF LOSS |
|-----------------------------------|---|--------------|
|-----------------------------------|---|--------------|

9. Payments related to debt counseling or bankruptcy

- None ☐ List all payments made or property transferred by or on behalf of the debtor to any persons, including attorneys, for consultation concerning debt consolidation, relief under the bankruptcy law or preparation of a petition in bankruptcy within one year immediately preceding the commencement of this case.

| NAME AND ADDRESS OF PAYEE | DATE OF PAYMENT, NAME OF PAYOR IF OTHER THAN DEBTOR | AMOUNT OF MONEY OR DESCRIPTION AND VALUE OF PROPERTY |
|---------------------------|---|--|
|---------------------------|---|--|

Michael J. Iannacone
SUITE 575
444 CEDAR STREET
ST. PAUL, MN 55101

SEE STATEMENT OF ATTORNEY

10. Other transfers

None ☐ List all other property, other than property transferred in the ordinary course of the business or financial affairs of the debtor, transferred either absolutely or as security within one year immediately preceding the commencement of this case. (Married debtors filing under chapter 12 or chapter 13 must include transfers by either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

| NAME AND ADDRESS OF TRANSFEREE, RELATIONSHIP TO DEBTOR | DATE | DESCRIBE PROPERTY TRANSFERRED AND VALUE RECEIVED |
|---|-----------------|---|
| SOLD TWO VEHICLES TO HEINTZ PONTIAC CADILLAC, INC. | | RECEIVED \$31,602.00 LESS BANK PAYOFFS OF \$15,026.09 WITH A NET OF \$16,575.91 |
| BABE RUTH AUTOGRAPH GIVEN TO LOREN NORGAARD AS COLLATERAL FOR LOAN IN AUGUST, 2001 IN THE AMOUNT OF \$100,000.00 | JULY 2, 2001 | |
| DAVID E. NORRIS | AUGUST 17, 2001 | FORMER HOMESTEAD AT 224 CRESTWOOD DRIVE NO., MANKATO, MINNESOTA FOR \$212,800.00 |
| FEERGUSON ESTATE/SUSAN BAKER, PERSONAL REPRESENTATIVE | JULY 13, 2001 | VARIOUS SECURITIES |
| GROUP PLEDGE | JULY 12, 2001 | SEE SUPPLEMENT TO SCHEDULE D |
| NANCY & DAVID HINES | JULY 6, 2001 | SEE SUPPLEMENT TO SCHEDULE D |
| LOREN & DIANE NORGAARD | JULY 2, 2001 | SEE SUPPLEMENT TO SCHEDULE D |

11. Closed financial accounts

None ☒ List all financial accounts and instruments held in the name of the debtor or for the benefit of the debtor which were closed, sold, or otherwise transferred within one year immediately preceding the commencement of this case. Include checking, savings, or other financial accounts, certificates of deposit, or other instruments; shares and share accounts held in banks, credit unions, pension funds, cooperatives, associations, brokerage houses and other financial institutions. (Married debtors filing under chapter 12 or chapter 13 must include information concerning accounts or instruments held by either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

| NAME AND ADDRESS OF INSTITUTION | TYPE AND NUMBER OF ACCOUNT AND AMOUNT OF FINAL BALANCE | AMOUNT AND DATE OF SALE OR CLOSING |
|---------------------------------------|--|--|
|---------------------------------------|--|--|

12. Safe deposit boxes

None ☒ List each safe deposit or other box or depository in which the debtor has or had securities, cash, or other valuables within one year immediately preceding the commencement of this case. (Married debtors filing under chapter 12 or chapter 13 must include boxes or depositories of either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

| NAME AND ADDRESS OF BANK OR OTHER DEPOSITORY | NAMES AND ADDRESSES OF THOSE WITH ACCESS TO BOX OR DEPOSITORY | DESCRIPTION OF CONTENTS | DATE OF TRANSFER OR SURRENDER, IF ANY |
|--|---|----------------------------|---|
|--|---|----------------------------|---|

13. Setoffs

None ☒ List all setoffs made by any creditor, including a bank, against a debt or deposit of the debtor within 90 days preceding the commencement of this case. (Married debtors filing under chapter 12 or chapter 13 must include information concerning either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

| NAME AND ADDRESS OF CREDITOR | DATE OF SETOFF | AMOUNT OF SETOFF |
|------------------------------|----------------------|------------------------|
|------------------------------|----------------------|------------------------|

14. Property held for another person

None ☒ List all property owned by another person that the debtor holds or controls.

| NAME AND ADDRESS OF OWNER | DESCRIPTION AND VALUE OF PROPERTY | LOCATION OF PROPERTY |
|------------------------------|--------------------------------------|----------------------|
|------------------------------|--------------------------------------|----------------------|

15. Prior address of debtor

None ☐ If the debtor has moved within the two years immediately preceding the commencement of this case, list all premises which the debtor occupied during that period and vacated prior to the commencement of this case. If a joint petition is filed, report also any separate address of either spouse.

| ADDRESS | NAME USED | DATES OF OCCUPANCY |
|--|-----------|------------------------------|
| 224 CRESTWOOD DRIVE NO. MANKATO, MN | SAME | 1967 THROUGH AUGUST 17, 2001 |

16. Spouses and Former Spouses

None



If the debtor resides or resided in a community property state, commonwealth, or territory (including Alaska, Arizona, California, Idaho, Louisiana, Nevada, New Mexico, Puerto Rico, Texas, Washington, or Wisconsin) within the six-year period immediately preceding the commencement of the case, identify the name of the debtor's spouse and of any former spouse who resides or resided with the debtor in the community property state.

NAME

17. Environmental Sites

For the purpose of this question, the following definitions apply:

"Environmental Law" means any federal, state, or local statute or regulation regulating pollution, contamination, releases of hazardous or toxic substances, wastes or material into the air, land, soil, surface water, groundwater, or other medium, including, but not limited to, statutes or regulations regulating the cleanup of these substances, wastes, or material.

"Site" means any location, facility, or property as defined under any Environmental Law, whether or not presently or formerly owned or operated by the debtor, including, but not limited to, disposal sites.

"Hazardous Material" means anything defined as a hazardous waste, hazardous substance, toxic substance, hazardous material, pollutant, or contaminant or similar term under an Environmental Law

None



a. List the name and address of every site for which the debtor has received notice in writing by a governmental unit that it may be liable or potentially liable under or in violation of an Environmental Law. Indicate the governmental unit, the date of the notice, and, if known, the Environmental Law:

| SITE NAME AND ADDRESS | NAME AND ADDRESS OF GOVERNMENTAL UNIT | DATE OF NOTICE | ENVIRONMENTAL LAW |
|--------------------------|--|-------------------|----------------------|
|--------------------------|--|-------------------|----------------------|

b. List the name and address of every site for which the debtor provided notice to a governmental unit of a release of Hazardous Material. Indicate the governmental unit to which the notice was sent and the date of the notice.

None



| SITE NAME AND ADDRESS | NAME AND ADDRESS OF GOVERNMENTAL UNIT | DATE OF NOTICE | ENVIRONMENTAL LAW |
|--------------------------|--|-------------------|----------------------|
|--------------------------|--|-------------------|----------------------|

c. List all judicial or administrative proceedings, including settlements or orders, under any Environmental Law with respect to which the debtor is or was a party. Indicate the name and address of the governmental unit that is or was a party to the proceeding, and the docket number.

None



| NAME AND ADDRESS OF GOVERNMENTAL UNIT | DOCKET NUMBER | STATUS OR DISPOSITION |
|---|---------------|-----------------------|
|---|---------------|-----------------------|

18. Nature, location and name of business

None
☐

a. If the debtor is an individual, list the names, addresses, taxpayer identification numbers, nature of the businesses, and beginning and ending dates of all businesses in which the debtor was an officer, director, partner, or managing executive of a corporation, partnership, sole proprietorship, or was a self-employed professional within the six years immediately preceding the commencement of this case, or in which the debtor owned 5 percent or more of the voting or equity securities within the six years immediately preceding the commencement of this case.

If the debtor is a partnership, list the names, addresses, taxpayer identification numbers, nature of the businesses, and beginning and ending dates of all businesses in which the debtor was a partner or owned 5 percent or more of the voting or equity securities, within the six years immediately preceding the commencement of this case.

If the debtor is a corporation, list the names, addresses, taxpayer identification numbers, nature of the businesses, and beginning and ending dates of all businesses in which the debtor was a partner or owned 5 percent or more of the voting or equity securities within the six years immediately preceding the commencement of this case.

| NAME | TAXPAYER I.D. NUMBER | ADDRESS | NATURE OF BUSINESS | BEGINNING AND ENDING DATES |
|------------------------------------|-------------------------|--|--|-------------------------------|
| FRANK L. GAZZOLA, CHARTERED | | 410 JACKSON STREET SUITE 510 MANKATO, MN 56001 | FLG - 100% OWNER | |
| NORTHERN STAR FINANCIAL, INC. | | 1650 MADISON AVENUE MANKATO, MN 56001 | 32,000 + SHARES TRANSFERRED JULY, 2001. RESIGNED AS DIRECTOR/SEC/TREASURER AUGUST, 2001 | |
| NORTHERN STAR BANK | | 1650 MADISON AVENUE MANKATO, MN 56001 | SEE NORTHERN STAR FINANCIAL | |
| THE FAMILY BANK/VOYAGER BANK | | 107 SOUTH 2ND STREET MANKATO, MN 56001 | RESIGNED AS SEC/TREAS 3-4 YEARS AGO | |
| SGL, INC. | | 107 SOUTH 2ND STREET MANKATO, MN 56001 | SEE THE FAMILY BANK | |
| PHENIX BIOCOMPOSITES, LLC | | P.O. BOX 609 MANKATO, MN 56001 | DIRECTOR & CFO | |
| SIERRA DUBANA | | 410 JACKSON STREET SUITE 510 MANKATO, MN 56001 | PARTNERSHIP DORMANT | |
| RHO DELTA, INC. | | 410 JACKSON STREET SUITE 510 MANKATO, MN 56001 | SEC/TREAS, DIRECTOR. DORMANT. ONLY ASSET IS PBC DEBENTURES | |
| MITOR INDUSTRIES, INC. | | 410 JACKSON STREET SUITE 510 MANKATO, MN 56001 | DEFUNCT | |

| NAME | TAXPAYER I.D. NUMBER | ADDRESS | NATURE OF BUSINESS BEGINNING AND ENDING DATES |
|----------------------------------|-------------------------|--|--|
| INNOVA, INC. | | 3325 SOUTH 116TH STREET SEATTLE, WA 98168 | RESIGNED AS DIRECTOR 4 YEARS AGO |
| CATALYTIC COMBUSTION CORP. | | 709 - 21ST AVENUE BLOOMER, WI 54724 | RESIGNED AS OFFICER AND DIRECTOR IN APPROXIMATELY JULY, 2001 |
| VALLEY VIEW CABLE, INC. | | 410 JACKSON STREET SUITE 510 MANKATO, MN 56001 | DEFUNCT |
| GAZZOLA AND COMPANY | | 410 JACKSON STREET SUITE 510 MANKATO, MN 56001 | DEBTORS ARE ONLY PARTNERS |
| PHENIX BIOCOMPOSITS, INC. | | | OWNS LESS THAN 2% OF STOCK |

b. Identify any business listed in response to subdivision a., above, that is "single asset real estate" as defined in 11 U.S.C. § 101.

None



NAME

ADDRESS

The following questions are to be completed by every debtor that is a corporation or partnership and by any individual debtor who is or has been, within the six years immediately preceding the commencement of this case, any of the following: an officer, director, managing executive, or owner of more than 5 percent of the voting or equity securities of a corporation; a partner, other than a limited partner, of a partnership; a sole proprietor or otherwise self-employed.

(An individual or joint debtor should complete this portion of the statement only if the debtor is or has been in business, as defined above, within the six years immediately preceding the commencement of this case. A debtor who has not been in business within those six years should go directly to the signature page.)

19. Books, record and financial statements

None



a. List all bookkeepers and accountants who within the six years immediately preceding the filing of this bankruptcy case kept or supervised the keeping of books of account and records of the debtor.

NAME AND ADDRESS

DATES SERVICES RENDERED

None ☒ b. List all firms or individuals who within the two years immediately preceding the filing of this bankruptcy case have audited the books of account and records, or prepared a financial statement of the debtor.

| NAME | ADDRESS | DATES SERVICES RENDERED |
|------|---------|-------------------------|
|------|---------|-------------------------|

None ☒ c. List all firms or individuals who at the time of the commencement of this case were in possession of the books of account and records of the debtor. If any of the books of account and records are not available, explain.

| NAME | ADDRESS |
|------|---------|
|------|---------|

None ☐ d. List all financial institutions, creditors and other parties, including mercantile and trade agencies, to whom a financial statement was issued within the two years immediately preceding the commencement of this case by the debtor.

| NAME AND ADDRESS | DATE ISSUED |
|---|---------------------|
| MERCHANTS STATE BANK LEWISVILLE, MN 56060 | 11/25/99 & 5/2/01 |
| NORTHERN STAR BANK 1650 MADISON AVENUE MANKATO, MN 56001 | 11/26/99 & 12/12/00 |
| NICOLLET COUNTY BANK 220 SO THIRD STREET ST. PETER, MN 56082 | 8/2/00 |
| COMMUNICTY SECURITY BANK 1100 - 1ST NO. NEW PRAGUE, MN 56071 | 8/14/00 |

20. Inventories

None ☒ a. List the dates of the last two inventories taken of your property, the name of the person who supervised the taking of each inventory, and the dollar amount and basis of each inventory.

| DATE OF INVENTORY | INVENTORY SUPERVISOR | DOLLAR AMOUNT OF INVENTORY (Specify cost, market or other basis) |
|-------------------|----------------------|---|
|-------------------|----------------------|---|

None ☒ b. List the name and address of the person having possession of the records of each of the two inventories reported in a., above.

DATE OF INVENTORY

NAME AND ADDRESSES OF CUSTODIAN OF
INVENTORY RECORDS

21. Current Partners, Officers, Directors and Shareholders

None ☐ a. If the debtor is a partnership, list the nature and percentage of partnership interest of each member of the partnership.

NAME AND ADDRESS

NATURE OF INTEREST

PERCENTAGE OF INTEREST

FRANK GAZZOLA - 50% AND
SHIRLEY GAZZOLA - 50%

GENERAL PARTNERS IN
GAZZOLA & COMPANY

None ☒ b. If the debtor is a corporation, list all officers and directors of the corporation, and each stockholder who directly or indirectly owns, controls, or holds 5 percent or more of the voting or equity securities of the corporation.

NAME AND ADDRESS

TITLE

NATURE AND PERCENTAGE OF
STOCK OWNERSHIP

22. Former partners, officers, directors and shareholders

None ☒ a. If the debtor is a partnership, list each member who withdrew from the partnership within one year immediately preceding the commencement of this case.

NAME

ADDRESS

DATE OF WITHDRAWAL

None ☒ b. If the debtor is a corporation, list all officers, and directors whose relationship with the corporation terminated within one year immediately preceding the commencement of this case.

NAME AND ADDRESS

TITLE

DATE OF TERMINATION

23. Withdrawals from a partnership or distribution by a corporation

None ☒ If the debtor is a partnership or a corporation, list all withdrawals or distributions credited or given to an insider, including compensation in any form, bonuses, loans, stock redemptions, options exercised and any other perquisite during one year immediately preceding the commencement of this case.

NAME & ADDRESS OF
RECIPIENT, RELATIONSHIP
TO DEBTOR

DATE AND PURPOSE
OF WITHDRAWAL

AMOUNT OF MONEY OR
DESCRIPTION AND
VALUE OF PROPERTY

(If completed by an individual or individual and spouse)

I declare under penalty of perjury that I have read the answers contained in the foregoing statement of financial affairs and any attachments thereto and that they are true and correct.

Date 11/26/01

Signature
of Debtor

Frank Louis Gazzola
FRANK LOUIS GAZZOLA

Date 11/26/01

Signature
of Joint Debtor

Shirley Ann Gazzola
SHIRLEY ANN GAZZOLA

CERTIFICATION AND SIGNATURE OF NON-ATTORNEY BANKRUPTCY PETITION PREPARER (See 11 U.S.C. § 110)

I certify that I am a bankruptcy petition preparer as defined in 11 U.S.C. § 110, that I prepared this document for compensation, and that I have provided the debtor with a copy of this document.

Printed or Typed Name of Bankruptcy Petition Preparer

Social Security No.

Address

Names and Social Security numbers of all other individuals who prepared or assisted in preparing this document:

If more than one person prepared this document, attach additional signed sheets conforming to the appropriate Official Form for each person.

X
Signature of Bankruptcy Petition Preparer

Date

A bankruptcy petition preparer's failure to comply with the provisions of title 11 and the Federal Rules of Bankruptcy Procedure may result in fines or imprisonment or both. 11 U.S.C. § 110, 18 U.S.C. § 156.

0 continuation sheets attached

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. § 152 and 3571

Form 8B (Official Form 8)
(9/97)**Form 8. INDIVIDUAL DEBTOR'S STATEMENT OF INTENTION**
UNITED STATES BANKRUPTCY COURTFRANK LOUIS GAZZOLA & SHIRLEY ANN
GAZZOLAIn re _____
Debtor

Case No. 01-34638

Chapter 7

CHAPTER 7 INDIVIDUAL DEBTOR'S STATEMENT OF INTENTION

1. We have filed a schedule of assets and liabilities which includes consumer debts secured by property of the estate.
2. We intend to do the following with respect to the property of the estate which secures those consumer debts:

a. Property to Be Surrendered

Description of Property

Creditor's name

NONE

*b. Property to Be Retained.**[Check any applicable statement.]*

| Description of Property | Creditor's name | Property is claimed as exempt | Property will be redeemed pursuant to 11 U.S.C. § 722 | Debt will be reaffirmed pursuant to 11 U.S.C. § 524(c) |
|-------------------------|---------------------|-------------------------------|---|--|
| HOMESTEAD LOCATED ... | WASHINGTON MUTUA... | ✓ | | ✓ |
| HOMESTEAD LOCATED ... | NORTHERN STAR BAN.. | ✓ | | ✓ |

Date: _____

Signature of Debtor

FRANK LOUIS GAZZOLA

Date: _____

Signature of Joint Debtor

SHIRLEY ANN GAZZOLA

CERTIFICATION OF NON-ATTORNEY BANKRUPTCY PETITION PREPARER (See 11 U.S.C. § 110)

I certify that I am a bankruptcy petition preparer as defined in 11 U.S.C. § 110, that I prepared this document for compensation, and that I have provided the debtor with a copy of this document.

Printed or Typed Name of Bankruptcy Petition Preparer

Social Security No.

Address

Names and Social Security Numbers of all other individuals who prepared or assisted in preparing this document.

If more than one person prepared this document, attach additional signed sheets conforming to the appropriate Official Form for each person.

X
Signature of Bankruptcy Petition Preparer

Date

A bankruptcy petition preparer's failure to comply with the provisions of title 11 and the Federal Rules of Bankruptcy Procedure may result in fines or imprisonment or both. 11 U.S.C. § 110; 18 U.S.C. § 156.

UNITED STATES BANKRUPTCY COURT
DISTRICT OF MINNESOTA

In re:
Frank Louis Gazzola and Shirley Ann Gazzola,
Debtor(s).

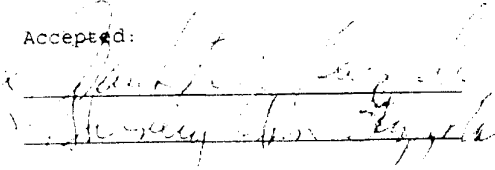
Bankruptcy 01-34638

STATEMENT OF ATTORNEY

Michael J. Iannacone states and alleges:

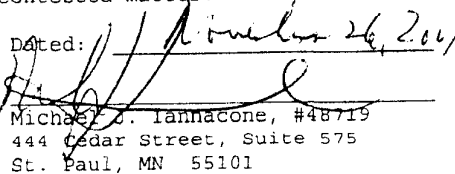
1. That he is the attorney for the debtor(s) in the above matter.
2. That the compensation paid or promised to him for professional services rendered and to be rendered in connection with the case is \$ 5,000.00, plus filing fees. The services rendered or to be rendered include the following: (a) analysis of the financial situation and rendering advice and assistance to the debtor in determining whether to file a petition under Title 11 of the United States Code; (b) preparation and filing of the petition, exhibits, attachments, schedules, statements and lists and other documents required by the court; (c) representation of the debtor(s) at the meeting of creditors; (d) negotiations with creditors; and (e) other services reasonably necessary to represent the debtor(s) in this case. Providing, however, additional compensation at the attorney's normal hourly rate will be required for the defense of any adversary proceedings, post-petition agreement approvals or lien avoidance proceedings, if any. OMITTED CREDITORS WILL BE INCLUDED AT THE RATE OF \$20.00 EACH. IN THE EVENT IT IS NECESSARY TO RESCHEDULE THE FIRST MEETING OF CREDITORS AT DEBTOR'S REQUEST OR BECAUSE OF DEBTOR'S FAILURE TO APPEAR, DEBTOR AGREES TO PAY AN ADDITIONAL FEE OF \$75.00 PLUS \$1.00 FOR EACH CREDITOR OR OTHER PARTY LISTED ON DEBTOR'S SCHEDULES OR PARTY REQUESTING NOTICE. It is agreed that if the debtor(s) should fail to make full or partial payment of fees according to any written or oral agreement, attorney may withdraw as attorney for this case upon written notice to debtor(s).
3. That to date the undersigned has been paid the sum of \$ 5200.00. The source of which was as follows: earnings, cash on hand _____.
4. That he has received no transfer, assignment or pledge of property except the following of the stated value: _____.
5. The promised balance remaining, if any, will be derived from earnings, wages, and compensation for earnings and _____.
6. That the undersigned has not shared or agreed to share with any persons, other than with members of the undersigned's law firm, any compensation paid or to be paid except as follows: _____.
7. That representation agreed to hereby does not include representation for any contested matter, including adversary proceedings, motions of any kind, lien avoidance actions, credit report disputes, removal of liens against real estate or any other matter in which an attorney may appear or represent me before the Bankruptcy Court, including negotiations on such matter. Michael J. Iannacone is not obligated to represent me in such contested matters and may decline to do so. If Michael J. Iannacone consents to representation in such matters, I agree to pay additional attorney's fees at the rate of \$230.00 per hour with a prepaid retainer as set by Michael J. Iannacone and paid before work is initiated on the contested matter.

Accepted:



Frank Louis Gazzola

Dated:



Michael J. Iannacone, #48719
444 Cedar Street, Suite 575
St. Paul, MN 55101

The Executive

DELUXE

No State Bank "H" 5

JAN 2001 - Dec 2001

EXHIBIT *B*

GC 000001

DESCRIPTION OF DEPOSIT

DEDUCTIBLE

| | SOURCE OF ITEM | NATURE OF ITEM | AMOUNT OF ITEM | TOTAL AMOUNT OF DEPOSIT |
|----|---|------------------------|----------------|-------------------------|
| 1 | RECURRING NEW 100 LOAN | | \$ 25000 - | \$ |
| 2 | FG @ FIVE LOAN (2 nd) (2500-410) | IN RE TRANSFER LATE | 15000 - | |
| 3 | DM WIRE TRANSFER 10% ON 120K | | 156400 - | |
| 4 | FG (60-80) | | 20000 - | |
| 5 | FG BANK INCORPORATED (80-130) (80-30) TREATS THIS AS A PAYMENT | | 50,000 - | |
| 6 | FG (130-145) (30-45) | | 15000 - | |
| 7 | FG (145-155) (45-145) | | 100,000 - | |
| 8 | FG (145-235) | | 90,000 - | |
| 9 | TO AMERICANS (235-360) | CASH SHORTFALL PER KEN | | |
| 10 | CCC | | 2336 99 | |
| 11 | CCC | | 100,000 - | |
| 12 | FG (320-400) | | 80,000 - | |

| | | | | | | | | | |
|--|--|----|--|--|--|--|--|--|--|
| | | \$ | | | | | | | |
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| | | | | | | | | | |

PLEASE TURN TO THE BACK COVER FOR AN EXPLANATION
OF HOW THIS REGISTER MAY BE USED.

GC000002

| REF | DATE | DESCRIPTION OF TRANSACTION | IN PAYMENT OF | PAYMENT/DEBIT (-) | ✓ T | FEE (IF ANY) (-) | DEPOSIT/CREDIT (+) | BALANCE |
|---------------------------|--------|---|----------------|----------------------|--------|------------------------|-----------------------|---------------|
| BALANCE BROUGHT FORWARD → | | | | | | | | \$ 348 778 16 |
| 18 | JAN 1 | Edmonds | FARMER | 10 000 - | N | | | |
| 19 | | Holly Sec | Edmonds | 200 - | N | 1/2 | 250 000 - | |
| 20 | | For EDWARDS | 7520 7 1/2% | 608 14 | N | | | |
| 21 | | KARL MEAD | 800 @ 9 1/2% | 64 07 | N | | | |
| 22 | | MURRAY COOK | 1000 @ 9 1/2% | 95 12 | N | | | |
| 23 | | LORRAINE KENNEL | 10500 @ 9 1/2% | 84 72 | N | | | |
| 24 | | LEON HEDGECOCK | 21167 @ 9 1/2% | 170 79 | N | | | |
| 25 | | ALAN COOK | 1000 @ 9 1/2% | 80 55 | N | | | |
| 26 | | CLARENCE | HEARST | 1000 - | N | | | |
| 27 | | WANDA OLIVER (P) | 1442 @ 9 1/2% | 119 11 | N | | | |
| 28 | | DR. ANTONIO TOSTI 1 313 R. 51 6441 143 R. 57 6441 | @ 1 1/2% | 444 1 23 | N | 1/3 | 15 000 - | |
| 29 | | JOHN MARRAS 4 6441 | 1000 @ 9 1/2% | 10 39 | N | | | |
| 30 | JAN 2 | No STATE BANK | CCC | 8122 00 | N | | | |
| - | | FG (150) (260-600) | FG | 200 000 - | N | 1/2 | 16 400 - | |
| 31 | JAN 3 | No STATE BANK | CCC | 15741 28 | N | 1/3 | 20 000 - | |
| - | JAN 2 | FG (APRIL 1961) (410-260) | FG | 150 000 - | N | 1/4 | 50 000 - | |
| 32 | JAN 4 | No STATE BANK | KILLION | 400 - | N | 1/5 | 15 000 - | |
| | JAN 4 | FG EARL BYRNE (80-30) | FG | 50 000 - | N | 1/5 | 100 000 - | 66 998 61 |
| 33 | JAN 9 | Carolyn | FARMER | 15000 - | N | 1/9 | 90 000 - | 11 001 39 |
| 36 | | No STATE BANK (CUT OUT OF SEQUENCE) | CCC | 24 735 - | N | 1/10 | 102 336 99 | |
| 34 | JAN 10 | No STATE BANK | CCC | 16362 63 | N | | | 72 245 75 |
| - | JAN 10 | FG (360-240) | FG | 70 000 - | N | | | 2 246 75 |
| 35 | | No STATE BANK | KNO DELTA | 9000 - | N | | | |
| - | JAN 11 | FG (240-270) | FG | 20 000 - | N | | | |
| | JAN 12 | FG FUL STRATTON CHIL (270-320) | PERSONAL o/c | -0 - | N | | | |
| 36 | JAN 13 | IRS | HEARST | 70 - | N | | | |
| 37 | | / | SAMPSON | 6000 - | N | | | |
| 38 | | / | THOMAS | 800 - | N | | | |
| 39 | | / | ROBIN | 350 - | N | 1/16 | 80 000 - | 48 390 75 |
| 40 | | / | CCI - RUFF | 100 000 - | N | | | 57 609 75 |

GC 000003

| NUMBER | DATE | DESCRIPTION OF TRANSACTION | PURPOSE | PAYMENT/DEBIT (-) | | AMOUNT DEDUCTIBLE |
|--------|------|----------------------------|---------|----------------------|--|----------------------|
| | | | | \$ | | \$ |
| | | | | | | |
| | | | | | | |
| | | | | | | |
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| | | | | | | |
| | | | | TOTAL DEDUCTIBLE | | |

| DATE | SOURCE OF ITEM | NATURE OF ITEM | AMOUNT OF ITEM | | TOTAL AMOUNT OF DEPOSIT |
|-------|---|----------------|----------------|----|-------------------------|
| 17-01 | PG (400-420) | | \$ 2000 | - | \$ |
| 19-01 | PG (420-430) | | 10000 | - | |
| 23-01 | NOLAN | | 18000 | - | |
| | PG PERSONAL | | 50000 | - | |
| | CCC | | 100000 | - | 168000 |
| 23-01 | PG (370-391) | | 25000 | - | |
| | PG (391-435) | | 40000 | - | |
| 24-01 | CCC | | 2544 | 88 | |
| 24-01 | PG (435-450) | | 40000 | - | |
| 26-01 | PG (450-480?) From the ^{the} indication ^{indication} (450-455) | | 30000 | - | |
| 29-01 | CHRD | | 10000 | - | |
| 31-01 | GIPER (21) PG PERSONAL | | 339 | 59 | |
| | | | 1000 | - | |
| 3-01 | PG (450-470) | | 2000 | - | |

GC 000004

| REF. | DATE | DESCRIPTION OF TRANSACTION | IN PAYMENT OF | PAYMENT/DEBIT (-) | T | FEE (IF ANY) (-) | DEPOSIT/CREDIT (+) | BALANCE |
|---------------------------|--------|----------------------------|--------------------|----------------------|---|------------------------|-----------------------|--------------|
| BALANCE BROUGHT FORWARD → | | | | | | | | \$ 659.25 |
| 41 | JAN 16 | NO STATE FEE | Ferguson | \$ 300 - | n | 1/17 | \$ 2000 - | |
| 42 | ' | ' | (20K FOR MARK) CCC | 23 071 - | n | | | |
| 43 | ' | MANPAC LLC | WIRE TRANSFER | 25010 - | n | | | |
| 44 | JAN 17 | NO STATE FEE | CCC | 15 581 66 - | n | | | (103-573 91) |
| 45 | ' | DON MEREDITH | VVC | 1796 - | n | 1/19 | 10000 - | |
| 46 | ' | FG | ' | 898 - | n | | | |
| 47 | ' | JACOBSON | ' | 898 - | n | 1/21 | 168000 - | |
| 48 | ' | RICHARDS | ' | 898 - | n | | | |
| 49 | ' | CRISLAWSON | ' | 898 - | n | | | |
| 50 | ' | SULLIVAN | ' | 449 - | n | | | |
| 51 | ' | NEUSHAUS | ' | 449 - | n | | | |
| 52 | JAN 19 | NO STATE FEE | Ferguson | 4300 - | n | | | 80 840.09 |
| 53 | JAN 22 | FG (430-370) | FG | 6000 - | n | | | 3840.09 |
| 54 | JAN 23 | NO STATE | CCC | 26 862 49 - | n | 1/23 | 25000 - | 1979.60 |
| 55 | ' | PITENIX TEMP LOAN | PITENIX | 25000 - | n | 1/23 | 40000 - | 13979.60 |
| 56 | ' | NO STATE | Deer Run Co | 4000 - | n | 1/24 | 25000 - | |
| 57 | JAN 24 | NO STATE | CCC | 18024 03 - | n | 1/24 | 15000 - | |
| 58 | JAN 25 | Jim Muller | Jim Muller | 5000 - | n | 1/26 | 30000 - | 1445.55 |
| 59 | JAN 26 | JOAN & PAUL | JAT | 666 - | n | | | |
| 60 | ' | NO STATE | NEUL | 2000 - | n | | | 1585.55 |
| 61 | ' | ' | FERGUSON HOME HZ | 1000 - | n | 1/29 | 10000 - | |
| 62 | JAN 29 | FIRST STATE | NOLAN | 5000 - | n | 1/31 | | 1445.55 |
| 63 | JAN 31 | NO STATE CCC | CCC | 20 844 23 - | n | 1/31 | 1339 59 | 353.61 |
| | | | | | n | 1/31 | 20000 - | 20 353.61 |
| | | | BK CHRG | 11 38 | | | | 20 342.23 |
| | | EPSON CATER # 2604 | | 18 - | | | | 20 324.23 |

GC000005

[illegible]

| ATE | SOURCE OF ITEM | NATURE OF ITEM | AMOUNT OF ITEM | | TOTAL AMOUNT OF DEPOSIT |
|-----|------------------------|--|----------------|----|-------------------------|
| 31 | ENITGA - IDTIVE MATIAS | NEW 8% | \$ 3000 | | |
| 32 | FG | (435-436) | \$ 5000 | - | |
| 36 | FG | (430-465) | \$ 35000 | - | |
| 10 | CCC | SHARRENDEN DISPERBUTION ^{FORWARD} FILE SUBLE PAYMENTS | \$ 16000 | - | |
| 71 | CCC | | \$ 1813 | 25 | |
| | FG | PERSONAL | \$ 5000 | - | \$ 6113 25 |
| | FG | (465-475) | | | \$ 5000 - |
| 39 | REMAN FROM AMERICANA | 7910 (475-346) | | | |
| 814 | FG | (236-256) | | | \$ 20000 - |
| 15 | FG | (256-320) | | | \$ 64000 - |
| 16 | FG | (320-365) | | | \$ 35000 - |
| 30 | FG | (365-385) | | | \$ 20000 - |
| 31 | CCC | - A/R IN FG TO DATE - | | | \$ 108 500000 |

GC 000006

0050142

| DATE | DESCRIPTION OF TRANSACTION | IN PAYMENT OF | PAYMENT/DEBIT (-) | FEE (IF ANY) (-) | DEPOSIT/CREDIT (+) | BALANCE |
|---------------------------|---|----------------------------|-------------------|------------------|--------------------|-------------|
| BALANCE BROUGHT FORWARD → | | | | | | \$ 2,493.43 |
| 3/1 | Holly Sue | Edwin | \$ 200 - | 3/1 | \$ 3,000 - | |
| 4 | Joe Cuomo | JK @ 9 1/2 % | 605 14 | | | |
| 5 | LARRY ALKAPRO | JK @ 9 1/2 % | 669 69 | | | |
| 6 | NICHOLAS Cuomo | JK @ 9 1/2 % | 961 22 | | | |
| 7 | Lucille Kozick | JK @ 9 1/2 % | 84 72 | | | |
| 8 | Lionel Haggan | JK @ 9 1/2 % | 170 79 | | | |
| 9 | OLGA Cuomo | JK @ 9 1/2 % | 806 65 | | | |
| 10 | CECILENCE | 15000 | 1200 - | | | |
| 11 | WANDA OTTENS 1029 | JK @ 9 1/2 % | 1194 12 | | | |
| 12 | D'ANTONIO TRUST | JK @ 10 % | 4647 45 | | | |
| 13 | DAVID MIERAS | JK @ 9 1/2 % | 80 68 | | | |
| 14 | 2/2 No Star Bank | KILLIAN | 3000 - | | | |
| 15 | 3/1 FG (470 - 425) | FG | 45000 - | 3/2 | 5000 - | |
| 16 | 2/2 No Star | FERNANDO ESTRE | 4000 - | | | |
| 17 | 2/6 No Star | | 7000 - | | | |
| 18 | 2/7 No Star | CCC | 27568 06 | 2/6 | 35000 - | (4898 31) |
| 19 | 2/4 WILLIAM WEIR | RUFF / GATZOWA | 16176 19 | 2/4 | 16000 - | |
| 20 | - Vong | | | 3/1 | 6512 05 | |
| 21 | 1 Bud Kozick | | 12478 - | 3/1 | 10000 - | |
| 22 | 1 Don FASHINGBACH | | 7162 - | | | |
| 23 | 1 ROLFE PRINCE | | 5175 - | 3/12 | 160000 - | |
| 24 | 1 DAVE DAVEN | | 8945 - | | | 3675 46 |
| 25 | 2/9 Don FASHINGBACH PROPERTIES 1/2 of account | FASHINGBACH 1/2 of account | 37900 - | | | |
| 26 | 2/12 MARY RUFF Excess | Refund 1/2 16000 | 4746 55 | | | |
| 27 | 1 FG | | 3164 36 | | | (32135 45) |
| 28 | 3/12 FG (3416 - 236) | FG | 160000 - | 3/14 | 20000 - | |
| 29 | 1 MARY | RUFF / GATZOWA | 4746 55 | 3/15 | 64000 - | |
| 30 | 1 FG my service | | 3764 36 | 3/16 | 35000 - | |
| 31 | 3/14 No Star | CCC | 16591 11 | 3/20 | 30000 - | |
| 32 | 2/15 | | 3210 - | 3/21 | 10850000 | 45203 44 |

GC000007

WHICH CAN BE DEDUCTED FROM INCOME SUBJECT TO TAX

[illegible]

DESCRIPTION OF DEPOSIT

[illegible]

GC000008

| | DATE | DESCRIPTION OF TRANSACTION | IN PAYMENT OF | PAYMENT / DEBIT (-) | ✓ T | (IF ANY) (-) | DEPOSIT / CREDIT (+) | BALANCE |
|----|--------|----------------------------|---------------|------------------------|--------|-----------------|-------------------------|---------------------------------------|
| | | | | | | | | BALANCE BROUGHT FORWARD → \$ 4,263.44 |
| 10 | FEB 15 | NO STATE BANK | FEDERAL | \$ 400.00 - | | | | |
| | | BOOK TRANSFER | NA | 31400.00 - | | | | |
| 11 | FEB 19 | CCC 401K Super Vol To NTR | CCC 401K | 8782.27 | | | | 369.17 |
| 12 | FEB 20 | NO STATE BANK | CCC | 16900.53 | | 2/21 | 254.35 | |
| 13 | FEB 21 | NO STATE BANK | CCC | 2787.83 | | 2/27 | 339.29 | |
| - | FEB 21 | PG (385.305) | PG | 80000.00 - | | 2/28 | 10000.00 - | 147.35 |
| | | | | | | | | 511.63 |

GC 000009

| WHICH CAN BE DEDUCTED FROM INCOME TAX | | | | | |
|---------------------------------------|----------------------------|---------|-------------------|--|-------------------|
| DATE | DESCRIPTION OF TRANSACTION | PURPOSE | PAYMENT/DEBIT (-) | | AMOUNT DEDUCTIBLE |
| | | | \$ | | \$ |
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| | | | | | |
| | | | TOTAL DEDUCTIBLE | | |

| SOURCE OF ITEM | | NATURE OF ITEM | AMOUNT OF ITEM | TOTAL AMOUNT OF DEPOSIT |
|----------------|----------------------------------|----------------|----------------|-------------------------|
| 5 | PG CH-54 | | \$ 50.00 - | \$ |
| 7 | PG | | 140.00 - | |
| 13 | NOTES (MAY 15 1962 - 1962 1963) | | 1887 43 | |
| 13 | Tom KENNEDY | | 16.00 - | |
| 16 | PG LANSING (Newspaper) | | 100.00 - | |
| 16 | PG (730 - 760) | | 30.00 - | |
| 16 | PG PG CL - Jennifer (760 - 800) | | 45.00 - | |
| 21 | MARY J. MICHAEL (300 Bk) | ADJ. LOAN | 80.00 - | |
| 19 | PG By BARNES (305-312485) By KEN | | 74.05 - | |
| 21 | PG (312485-322485) | | 10.00 - | |

GC000010

| BEH | DATE | DESCRIPTION OF TRANSACTION | IN PAYMENT OF | PAYMENT/DEBIT (-) | ✓ T | FEE (IF ANY) (-) | DEPOSIT/CREDIT (+) | BALANCE |
|---------------------------|------|----------------------------|------------------|----------------------|--------|------------------------|-----------------------|----------|
| BALANCE BROUGHT FORWARD → | | | | | | | | 14658 75 |
| 94 | 3/1 | Holly Sue | Garwin | \$ 100 - | ✓ | 3/1 | \$ 5000 - | |
| 95 | | Joe Cuomo | 78K @ 8 1/2 % | 489 04 | ✓ | | | |
| 96 | | Karen Menden | 83K @ 9 % | 573 04 | ✓ | | | |
| 97 | | Nicholas Cuomo | 120K @ 9 % | 828 49 | ✓ | | | |
| 98 | | Wm. K. Komer | 10500 @ 8 1/2 % | 68 47 | ✓ | | | |
| 99 | | Loose Harbor | 211675 @ 8 1/2 % | 138 02 | ✓ | | | |
| 00 | | Joe A. Cuomo | 100K @ 9 % | 690 41 | ✓ | | | 649 02 |
| 01 | | Chadwick | HARVEST | 1200 - | ✓ | 3/1 | 14000 - | 13449 28 |
| 02 | | NANDA OPEN BORG | 148K @ 8 1/2 % | 965 04 | ✓ | | | |
| 03 | 20 | D'Antonio Trust | 456K @ 11 % | 456K @ 12 % | ✓ | | | |
| 04 | 20 | Bette Mares | 10K @ 8 1/2 % | 65 21 | ✓ | | | 133 33 |
| 05 | | INTRA BANK | 32K @ 8 % | 213 33 | ✓ | 3/12 | 1657 43 | |
| 06 | | Don Keener | Reiner Don | 1000 - | ✓ | | | |
| 07 | 3/5 | No STAL | Ferguson's 25000 | 2000 - | ✓ | | | |
| 08 | 3/13 | UAB BANK | #PMT 03753 | 2255 - | ✓ | 3/13 | 16000 - | |
| 09 | 3/16 | PLAST PNTA | Nolan | 7500 - | ✓ | | | |
| 10 | 3/13 | No STAL | Ferguson | 2000 - | ✓ | | | |
| 11 | 3/13 | UAB | PMT 03779 | 2750 - | ✓ | | | |
| 12 | 3/13 | UAB | PMT 03761 | 7455 - | ✓ | | | |
| - | 3/13 | FG (475-325) | FG | 15000 - | ✓ | 3/15 | 100000 - | |
| 13 | 3/13 | No STAL | Ferguson | 3000 - | ✓ | | | |
| 14 | 3/16 | No STAL | | 23000 - | ✓ | | | |
| 15 | | CURY | Loft 1/24 | 10000 - | ✓ | 3/19 | 7425 - | |
| - | 3/15 | FG (315-230) | FG | 9500 - | ✓ | 3/16 | 30000 - | |
| 16 | 3/18 | Bill Miller | Bill Miller | 4000 - | ✓ | 3/20 | 45000 - | 24965 43 |
| 17 | 3/20 | EMMA B | 3K @ 9 % | 213 33 | ✓ | 3/21 | 5000 - | |
| 18 | 3/21 | STELLA BURGESS | STELLA BURGESS | 2500 - | ✓ | 3/21 | 10000 - | |
| 19 | 3/21 | FG | DRON | 25000 - | ✓ | | | |
| - | 3/21 | No STAL WIRE | MIDWATER | 10012 - | ✓ | 3/21 | 10000 - | 9665 10 |
| 20 | - | | 20 - | 18 - | ✓ | | | 9996 91 |

GC000011

[illegible]

| DESCRIPTION OF DEPOSIT | | | | AMOUNT OF ITEM | TOTAL AMOUNT OF DEPOSIT |
|------------------------|----------------|-----------------|----------|----------------|-------------------------|
| DATE | SOURCE OF ITEM | NATURE OF ITEM | | | |
| 11/12/76 | FOR (4/1) | (332455-330000) | \$ 7575- | \$ | |
| | | | 33989 | | |

GC000012

— — — — —

DESCRIPTION OF DEPOSITGC000014

GC000015

| REF | DATE | DESCRIPTION OF TRANSACTION | PURPOSE | PAYMENT / DEBIT (-) | | AMOUNT DEDUCTIBLE |
|-----|------|----------------------------|---------|---------------------|--|-------------------|
| | | | | \$ | | \$ |
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| | | | | | | TOTAL DEDUCTIBLE |

[illegible]

GC000016

| BEF | DATE | DESCRIPTION OF TRANSACTION | IN PAYMENT OF | PAYMENT/DEBIT (-) | T | FEE (IF ANY) (-) | DEPOSIT/CREDIT (+) | BALANCE |
|---------------------------|--------|----------------------------|-------------------|----------------------|---|------------------------|-----------------------|-----------|
| BALANCE BROUGHT FORWARD → | | | | | | | | 2576 66 |
| 16 ✓ | APR 12 | IRS | ROBSON TRUST | \$ 234 - | ✓ | 5/13 | \$ 10 - | |
| 53 | - | MW DEPT OF REV | - | 31 - | ✓ | | | |
| 24 | - | - | - | 90 - | ✓ | 1/28 | 1/500 - | |
| 55 | - | IRS | - | 490 - | ✓ | | | |
| 26 | - | IRS | HELEN TRUST | 514 - | ✓ | | | |
| 57 | - | IRS | HERBSSO | 70 - | ✓ | | | |
| 58 | - | MW DEPT OF REV | - | 194 - | ✓ | | | |
| 59 | - | IRS | Mary & Wm Mueller | 1500 - | ✓ | | | |
| 60 | APR 23 | FIRST BANK (TOMIZ 4000) | MOLIND | 2000 - | ✓ | | | |
| 61 | APR 25 | flm (FOR M.C. Bk) | flm | 1000 - | | | | 1933 66 |
| 62 | APR 27 | FRANK J. JOHNSON (LEONARD) | LEG. TC | 240 - | | | | 1683 66 |
| | | | ? | 0.5 | | | | * 1683 61 |

GC 000017

| REF | DATE | DESCRIPTION OF TRANSACTION | PURPOSE | PAYMENT/DEBIT (-) | | AMOUNT DEDUCTIBLE |
|-----|------|----------------------------|---------|----------------------|--|----------------------|
| | | | | \$ | | \$ |
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| | | | | TOTAL DEDUCTIBLE | | |

| DATE | SOURCE OF ITEM | NATURE OF ITEM | AMOUNT OF ITEM | TOTAL AMOUNT OF DEPOSIT |
|------|---|----------------|----------------|-------------------------|
| 4/3 | FG (450 - 486) | | \$ 6000 - | \$ |
| 4/3 | DEPOSIT | | \$ 5000 - | |
| | FG MY CASHE (456 - 458) | | 1500 - | |
| 4/11 | FG | | 2000 - | |
| 4/14 | GALIC - ARTIE'S A/C (522 CKE 5988) | | 11000 - | |
| 4/15 | FG (441 - 449) | | 2000 - | |
| 4/17 | FG (FROM NIN & NINIS, LOAN) | | 60000 - | |
| | MEL & DUFF LANSAN | | 2500 - | |
| 4/21 | BOLIVAR (60K PER 14% CKE) ARTIE'S REGULAR A/C | | 106037.50 | |
| 4/22 | FG (332 - 337) | | 15000 - | |

GC000018

| SEN | DATE | DESCRIPTION OF TRANSACTION | IN PAYMENT OF | PAYMENT/DEBIT (-) | T | FEE (IF ANY) (-) | DEPOSIT/CREDIT (+) | BALANCE |
|---------------------------|--------|----------------------------|-------------------------|----------------------|---|------------------------|-----------------------|------------|
| BALANCE BROUGHT FORWARD → | | | | | | | | \$ 1653 66 |
| 63 | MAY 1 | Honey Sue | Edwin | \$ 100 - | n | \$ 5/7 | \$ 6.2000 - | |
| 64 | MAY 1 | Joe Cuomo | 75K @ 8 1/2% | 495 15 | n | | | |
| 65 | | KARIN MURKETT | 83K @ 9 1/2% | 613 97 | n | | | |
| 66 | | NICOLAS CUOMO | 120K @ 9 1/2% | 887 67 | n | | | |
| 67 | | LUCILLE KOTLER | 10500 @ 8 1/2% | 69 04 | n | | | |
| 68 | | LEONIE HONAN | 21167 1/2 @ 8 1/2% | 139 18 | n | | | |
| 69 | | DELA CUOMO | 100K @ 9 1/2% | 789 73 | n | | | |
| 70 | | CLARENCE | HARVEST | 1200 - | n | | | |
| 71 | | WANDA OTTEN BARR | 145K @ 8 1/2% | 973 15 | n | | | 2467 77 |
| 72 | | THE D'ANTONI TRUST | 432K @ 10 1/4% | 3935 34 | n | 1/3 | \$ 1000 - | |
| 73 | | DOTTIE MARRAS | 10K @ 8 1/2% | 65 75 | n | 1/3 | 1500 - | |
| - | | ONAGA BANK | (PMT 350 FOR 4/1) | - | | | | 3466 63 |
| 74 | | CAROLANN FERGUSON EST | FERGUSON | \$ 1000 - | n | 1/11 | 2000 - | |
| 75 | | FERGUSON HOME HUNT CARE | ✓ | 250 - | n | | | 216 63 |
| 76 | MAY 3 | RAY SAVINGS | Savings | 1000 - | n | | | |
| 77 | MAY 4 | Bob | Same GABE'S 1/2 | 2500 - | n | 1/14 | 11000 - | |
| - | MAY 14 | PG (428-447) | - | 11000 - | n | 1/15 | 2000 - | |
| 78 | MAY 15 | PG No trans | FERGUSON | 1800 - | n | 1/17 | 60000 - | |
| 79 | MAY 17 | PG CITY | THOMAS | 500 - | n | 1/17 | 25000 - | |
| 80 | | | Valley View | 900 - | n | | | |
| 81 | | | Robeck | 250 - | n | | | |
| 82 | | | ACUMING | 165 - | | | | 79701 63 |
| 83 | | FERGUSON JACKSON WALK LPS | RAY CC 421 JR | 15103 - | n | | | |
| - | | PG (447-367) | PG | 80000 - | n | 1/21 | 106037 50 | |
| 84 | MAY 21 | CC York | PG W/PG - PERS. CC York | 68 - | n | | | |
| 85 | | No trans (367-322) | PG | 118000 - | n | | | 30265 13 |
| - | | PG (367-322) | PG | 118000 - | n | | | |
| 86 | MAY 22 | FERGUSON TOWNSEND | WIGAN | 240 - | | | | |
| 87 | MAY 29 | PG | PG | (43000 -) | n | 1/15 | 15000 - | 310 13 |
| 88 | MAY 25 | PG | PG | 46 - | n | | | |

463 1500 -

GC000019

| DATE | DESCRIPTION OF TRANSACTION | IN PAYMENT OF | PAYMENT/DEBIT (-) | FEE (IF ANY) (-) | DEPOSIT/CREDIT (+) | BALANCE |
|-------------|------------------------------|----------------------|----------------------|------------------------|---------------------------|-----------|
| | | | | | BALANCE BROUGHT FORWARD → | \$ 328.13 |
| 17 May 2017 | TOWNS | JANSEN | \$ 0.00 - | \$ 3.30 | \$ 339.19 | |
| 20 May 2017 | BITTEN DANCE - NO STATE BIL. | SEE DEPOSIT #14 | 110.00 - | \$ 3.30 | | |
| 27 May | FILE | FB | 20.00 - | \$ 3.30 | 33.00 - | 667.72 |
| | | + \$13 | | | 1800 - | 2167.72 |
| 24 May | DOOR UNDERSTANDS | BANK TRANSFER CREDIT | | | 23.74 | 2191.46 |
| | (SIS) | ERROR ON CHECK #5769 | | | 60 X | 2192.06 |

GC000021

UNCLASSIFIED//FOR OFFICIAL USE ONLY

| NUMBER | DATE | DESCRIPTION OF TRANSACTION | PURPOSE | PAYMENT/DEBIT (-) | | AMOUNT DEDUCTIBLE |
|--------|------|----------------------------|---------|----------------------|--|----------------------|
| | | | | \$ | | \$ |
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| | | | | TOTAL DEDUCTIBLE | | |

DESCRIPTION OF DEPOSIT

[illegible]

GC 000022

| DATE | DESCRIPTION OF TRANSACTION | IN PAYMENT OF | PAYMENT/DEBIT (-) | FEE (IF ANY) (+) | DEPOSIT/CREDIT (+) | BALANCE |
|---------|--|---------------------|-------------------|------------------|----------------------------|-----------|
| | | | | | RAI ANCE BROUGHT FORWARD → | \$ 667 12 |
| June 1 | Holly Sue | Elliott | \$ 100 | 6/5 | \$ 000 - | |
| " | Joe Cosmo | 75K @ 7.5% | 477 74 | " | | |
| " | Kenneth McKeen | 83K @ 9 1/2% | 634 44 | " | | |
| " | Nicholas Cosmo | 100K @ 9 1/2% | 917 26 | " | | |
| " | Lawrence Kunkin | 100K @ 7.5% | 66 88 | " | | |
| " | Lawrence H. Dapp | 211675 @ 7.5% | 134 83 | " | | |
| " | Chris Cosmo | 100K @ 9 1/2% | 764 38 | " | | |
| " | CLARENCE | HEARST | 1200 | " | | |
| " | WINDYBAY OUTSIDE PROPERTY | 148K @ 7.5% | 943 74 | " | | 409 48 |
| " | NANTONI TRUST | VOIDED 7/31/01 | 428K @ 10 1/2% | 3871 66 | 6/7 339 59 | |
| " | DONALD MARY | 10K @ 7.5% | 63 70 | " | \$ 000 - | |
| " | ANTHONY BANK (31K @ 8 1/2% = 12) | DONALD | 213 33 | " | | 1619 13 |
| " | U.S. | PSTP 03761 | 7405 - | 6/11 | 3000 - | |
| " | " | PSTP 03774 | 2750 - | " | | |
| " | " | PSTP 03783 | 2255 - | " | | 1919 13 |
| June 14 | SUNNY | THOMAS/KENNY MURKIN | 2000 - | 6/11 | \$ 000 - | |
| " | MICHAEL'S ST. PETER (TRUST) | FERGUSON | 2304 54 | " | | 1884 59 |
| June 14 | JIM | SANFORD | 7000 - | 6/11 | 10000 - | |
| " | M.S. | " | 2200 - | " | | |
| " | " | REBECCA | 90 - | " | | |
| " | D.R.S. | " | 410 - | " | | |
| " | " | BRUNER | 500 - | " | | |
| " | M.S. | " | 198 - | " | | |
| " | " | HEARST | 300 - | " | | |
| " | JIM | " | 700 - | " | | 488 59 |
| " | " | THOMAS | 800 - | " | | 1210 93 |
| " | " | KENNY MURKIN | 1500 - | " | | |
| 6/15 | NO STATE BANK - LEGAL - DEPT. OF AGRICULTURE | LEGAT | 516 - | | | < 605 01 |
| | VOID CHECK # 1378 | | | | 655 - | < 170 07 |
| | BILL CURTIS | | 84 - | | | < 204 07 |

| DATE | DESCRIPTION OF TRANSACTION | PURPOSE | PAYMENT/DEBIT (-) | | AMOUNT DEDUCTIBLE |
|------|----------------------------|---------|----------------------|--|----------------------|
| | | | \$ | | \$ |
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| | | | TOTAL DEDUCTIBLE | | |

| SOURCE OF ITEM | | NATURE OF ITEM | | AMOUNT OF ITEM | | TOTAL AMOUNT OF DEPOSIT | |
|----------------|-------------------|----------------|--|----------------|----|-------------------------|--|
| 6 | Est Co (Petersen) | | | \$ 15,000 | - | \$ | |
| 5 | Gieseler | | | 339 | 19 | | |
| 10 | Peter | | | 3000 | - | | |
| 12 | FG | | | 3000 | - | | |
| 11 | FG | | | 45,000 | - | | |
| 130 | Patti | | | 2600 | - | | |
| 451 | Gieseler | | | 339 | 19 | | |

GC 000024

| DATE | DESCRIPTION OF TRANSACTION | IN PAYMENT OF | PAYMENT/DEBIT (-) | FEE (IF ANY) (-) | DEPOSIT/CREDIT (+) | BALANCE |
|---------------------------|----------------------------|--------------------|----------------------|------------------------|-----------------------|-----------|
| BALANCE BROUGHT FORWARD → | | | | | | \$ 224.01 |
| 11 July 1 | Holly Sue | THANKS | \$ 100 - | 7/6 | \$ 10000 - | |
| 17 | FOR COSMO | 75K @ 7% | 481 51 | N | | |
| 20 | EALIN MICHIGAN | 83K @ 9% | 613 97 | 7/5 | 339 19 | |
| 21 | NICHOLAS COSMO | 110K @ 9% | 887 67 | N | | |
| 22 | LOUISE KOSSEL | 10,500 @ 7% | 60 41 | 7/10 | 3000 - | |
| 25 | LEONIE W. DASH | 21167 1/2 @ 7% | 121 79 | N | | |
| 26 | QUENT COSMO | 100K @ 9% | 739 73 | N | | |
| 27 | CHARLINE | HOLDS | 1000 - | N | | |
| 28 | WILLIAM OTTEN POKS | 144K @ 7% | 851 51 | N | | |
| 29 | D'ANTONI TRIST | 98K @ 9% | 8 | | | |
| 30 | DOYLE MILLER | 10K @ 7% | 57 63 | N | | |
| 31 | ONARA BAKER | (37K @ 8% ÷ 12) | 273 33 | N | | |
| 30 July 5 | JOAN MILLER | MILLER JOAN | 11000 - | 7/10 | 3000 - | |
| 31 July 16 | MICHAEL'S ST BAKER | RUS DELTA | 2689 93 | 7/5 | 4500 - | |
| 1 July 25 | KOSTAN | FG | 45000 - | | | |
| 31 July 26 | DOMINA | POINT FULL KILLION | 3629 79 | N | | 6461 05 |
| | | | | 7/20 | 2600 - | |
| | | | | 7/11 | 339 19 | |
| | VOID CHECK #5799 | | | 7/31 | 3872 00 | 350 02 |
| | | PRC CASH | 981 | | | 341 01 |

GC000025

[illegible][illegible]

GC 000026

| BEH | DATE | DESCRIPTION OF TRANSACTION | IN PAYMENT OF | PAYMENT/DEBIT (-) | J T | FEE (IF ANY) (-) | DEPOSIT/CREDIT (+) | BALANCE |
|-----|-------|------------------------------|---------------------|----------------------|--------|------------------------|---------------------------|-----------------|
| | | | | | | | BALANCE BROUGHT FORWARD → | \$ 350.95 |
| 33 | Aug 1 | Holly Sue | Erwin | \$ 100 - | ✓ | 8/1 | 2000 - | |
| 34 | | Joe Cuomo | 75K @ 6 3/4% | 429 96 | ✓ | | | |
| 35 | | KARIN MEKKEIT | 83K @ 9% | 634 44 | ✓ | | | |
| 36 | | NICHOLAS CUOMO | 125K @ 9% | 917 26 | ✓ | | | |
| 37 | | LOUISE KOTTER | 10500 @ 6 3/4% | 60 19 | ✓ | | | |
| 38 | | LEONIE HADAPP | 21167.5 @ 6 3/4% | 121 35 | ✓ | | | 87 62 |
| 39 | | OLGA CUOMO | 100K @ 9% | 764 38 | ✓ | | | |
| 40 | | CLARENCE | HERBERT | 1200 - | ✓ | | | |
| 41 | | WANDA OWEN BAKER | 149K @ 6 3/4% | 848 46 | ✓ | | | |
| 42 | | D'ANTONI TRUST | 42K | 0 | | | | |
| 43 | | JOEIE MAREK | 10K @ 8 3/4% | 57 32 | ✓ | | | |
| 44 | | ONATE BANK | (30K @ 8 3/4% + 12) | 213 33 | ✓ | | | |
| 45 | | DAVE DACHTEL | Pd in fam DACHTEL | 1924 47 | ✓ | | | |
| 46 | | DON KEENER | KEENER | 4000 - | ✓ | | | |
| 8/8 | | PIETI THOM (Residence Primp) | THOM | 2600 - | ✓ | 8/8 | 2000 - | 4796 |
| | | | | 500 | | 8/13 | 13000 - | 4796 |
| 47 | 8/31 | TO SHARLES A/C | Per Bank | 600 - | | | | 4196 |
| | | | Per CUBS | 125 54 | | | | 30 12 |
| | | | | | | | | < 95 69 |

GC000027

| LINE | DATE | DESCRIPTION OF TRANSACTION | PURPOSE | PAYMENT / DEBIT (-) | | AMOUNT DEDUCTIBLE |
|------|------|----------------------------|---------|---------------------|--|-------------------|
| | | | | \$ | | \$ |
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| | | | | TOTAL | | |
| | | | | DEDUCTIBLE | | |

[illegible]

GC 000028

| DATE | DESCRIPTION OF TRANSACTION | IN PAYMENT OF | PAYMENT/DEBIT (-) | FEE (IF ANY) | DEPOSIT/CREDIT (+) | BALANCE |
|-------|-----------------------------------|-------------------------------|----------------------|-----------------|---------------------------|---------|
| | | | | | BALANCE BROUGHT FORWARD → | 95 69 |
| 18 | Henry Sue | Edwin | 150 - | | | |
| 49 | Joe Corno | 72 @ 6 3/4% | 439 96 | | | |
| 50 | Charles McEwen | 83 @ 9% | 634 40 | | | |
| 51 | Nicholas Corno | 100 @ 9% | 917 26 | | 9/6 2000 | |
| 52 | Louise Hunter | 60 @ 6 3/4% | 60 19 | | | |
| 53 | | LAST MO'S CHECK LOST (60.19) | 0 | | | |
| 54 | Leon Hunter | 21 167.55 @ 6 3/4% | 121 35 | | | |
| 55 | | LAST MO'S CHECK LOST (121.35) | 0 | | | |
| 56 | Cliff Corno | 100 @ 9% | 764 38 | | | |
| 57 | Charles Hunter | 122.55 | 122.55 | | | |
| 58 | Warrent Eaten Bonds | 145 @ 6 3/4% | 848 46 | | | |
| 59 | D'Antonio Trust | | | | | |
| 60 | Battle Minerals | 100 @ 6 3/4% | 27 32 | | | |
| 61 | Emmet Branch (First Trust Co. of) | 32 @ 8% (1/1) | 213 33 | | 9/11 4000 | 557 62 |
| 62 | U.M.B. (DID NOT MAIL) | PNTD 03753 | 2255 - | | 9/11 839 89 | |
| 63 | | PNTD 03719 | 2250 - | | | |
| 64 | | PNTD 03761 | 7425 - | | | |
| 65 | IRS (DID NOT MAIL) | SANFORDS | 7500 - | | | |
| 66 | St of MN (FROM FIRST BANK) | | 2200 - | | | |
| 67 | IM | Robert Trust | 410 | | | |
| 68 | MN | | 90 | | | |
| 69 | | HELEN THOMAS | 16 | | | |
| 70 | IRS | | 500 | | | |
| 71 | | ESCAR PRINCE | 160 | | | |
| 72 | MN | | 400 | | | |
| 73 | | Reg HELEN | 300 | | | |
| 74 | IRS | | 700 | | | |
| 75 | | Wm MILLER | 1500 | | BLK 7 CUS. 16 78 | 880 43 |
| 10/12 | TRANSFER TO PERSONAL | | 800 - | | | 80 43 |
| | | SIL CASH | 1557 | | | 61 86 |

GC000029

| NUMBER | DATE | DESCRIPTION OF TRANSACTION | PURPOSE | PAYMENT/DEBIT (-) | | AMOUNT DEDUCTIBLE |
|--------|------|----------------------------|---------|----------------------|--|----------------------|
| | | | | \$ | | \$ |
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| | | | | TOTAL DEDUCTIBLE | | |

[illegible]

Gc 000030

| REF | DATE | DESCRIPTION OF TRANSACTION | IN PAYMENT OF | PAYMENT/DEBIT (-) | Y T | FEE (IF ANY) (-) | DEPOSIT/CREDIT (+) | BALANCE |
|--|--------|----------------------------------|-----------------|----------------------------------|--------|------------------------|---------------------------|----------|
| | 2001 | | | | | | BALANCE BROUGHT FORWARD → | \$ 61 86 |
| 64 | Nov 4 | HARRY SEE | EDWIN | \$ 100 - | | \$11/5 | \$ 2600 - | |
| 65 | - | JOE COSMO | 75K @ 6% | 382 19 | | | | |
| 66 | - | KARL MERRITT | 83K @ 6% | 422 95 | | | | |
| 67 | - | LUCILLE KOTTEL | 10500 @ 6% | 53 50 | | | | |
| 68 | - | LEON HODAPP | 21128.44 @ 6% | 107 66 | | | | |
| 69 | - | WANDA OTTEN BROS | 148K @ 6% | 754 19 | | | | |
| 70 | - | DOTTIE MARIENS | 10K @ 6% | 50 95 | | | | |
| 71 | - | ONAGA BANK FIRST TRUST CO | | 213 33 | | | | |
| 72 | - | OLGA COSMO | 100K @ 6% | 509 58 | | | | 67 86 |
| 73 | Nov 13 | LEON HODAPP REPRESENTATIVE CHECK | FOR 11/5/01 | 107 66 | | 11/6 | 70 91 | |
| 74 | Nov 21 | US BANK | BK CHRG CLOSE | 2 50 | | | | |
| 75 | Nov 30 | | BK CHRG | 17 99 | | | | 7 27 |
| 76 | Dec 1 | HARRY SEE | EDWIN | 100 - | | | | |
| 77 | - | JOE COSMO | 75K @ 5.5% | 339 04 | | | | |
| 78 | - | LUCILLE KOTTEL | 10500 @ 5.5% | 47 47 | | | | |
| 79 | - | LEON HODAPP | 21128.44 @ 5.5% | 95 51 | | | | |
| 80 | - | WANDA OTTEN BROS | 148K @ 5.5% | 669 04 | | | | |
| 81 | - | DOTTIE MARIENS | 10K @ 5.5% | 45 21 | | | | |
| 82 | - | ONAGA BANK FIRST TRUST CO | | 213 33 | | | | |
| 83 | - | OLGA COSMO | 100K @ 5.5% | 452 05 | | 12/4 | 2000 - | 45 62 |
| 84 | Dec | | | | | | | |
| HODAPP 2 NOVEMBER PAYMENT BOTH CLEARED | | | | 21128.44 < 107.66 21020.78 | | | | |

GC000031

RECORD OF TRANSACTIONS

NAME OF FINANCIAL INSTITUTION

FROM _____ 19 ____

TO _____ 19 ____

ITEMS NUMBERED

FROM NO. _____

TO NO. _____

 **DELUXE** CHECK PRINTERS

GC000032

DIRECT INQUIRIES TO

053 01 00

Northern Star Bank

1650 Madison Ave. • Mankato, MN 56001
(507) 387-2265



FRANK GAZZOLA
410 JACKSON ST STE 510
MANKATO MN 56001

15
2
19

CHECKING ACCOUNT: 110000155

07/14/01 THRU 08/15/01
DOCUMENT COUNT: 21
PAGE 1

CHECKING ACCOUNT 110000155

| | | | |
|-----------------------|-----------|-------------------------|-----------|
| MINIMUM BALANCE | 866.61 | LAST STATEMENT 07/13/01 | 34,955.88 |
| AVG AVAILABLE BALANCE | 10,460.06 | 8 CREDITS | 56,700.33 |
| AVERAGE BALANCE | 10,460.06 | 24 DEBITS | 89,119.00 |
| | | THIS STATEMENT 08/15/01 | 2,537.21 |

| DEPOSITS | | | |
|----------|-----------|--------|----------|
| REF # | DATE | AMOUNT | REF # |
| 07/25 | 42,710.95 | | 08/01 |
| | | | 8,859.22 |

| OTHER CREDITS | | DATE | AMOUNT |
|--|--|-------|----------|
| DESCRIPTION | | | |
| US TREASURY 303 SOC SEC 098205991A SSA | | 07/16 | 38.00 |
| GAZZOLA CHARTERED PAYROLL | | 07/16 | 3,683.56 |
| Phenix Biocompos PR 072701 900000189 | | 07/27 | 2,308.75 |
| US TREASURY 303 SOC SEC 098205991A SSA | | 08/03 | 1,763.00 |
| Phenix Biocompos PR 081001 900000189 | | 08/10 | 2,308.75 |
| INTEREST | | 08/15 | 28.10 |

| CHECKS | | | |
|---------|-------|-----------|---------|
| CHECK # | DATE | AMOUNT | CHECK # |
| 5459 | 07/18 | 128.48 | 5466 |
| 5460 | 07/18 | 2,812.40 | 5468 |
| 5461 | 07/19 | 1,000.00 | 5470 |
| 5462 | 07/20 | 15,923.63 | 5471 |
| 5463 | 07/20 | 3,000.00 | 5472 |
| 5464 | 07/24 | 811.37 | 5473 |
| 5465 | 07/25 | 60.00 | 5474 |
| | | | 5475 |
| | | | 5476 |
| | | | 5477 |
| | | | 5478 |
| | | | 5479 |

(*) INDICATES A GAP IN CHECK NUMBER SEQUENCE

| OTHER DEBITS | | DATE | AMOUNT |
|---|--|-------|----------|
| DESCRIPTION | | | |
| DDA TRANSFER TO 0110000163 | | 07/16 | 1,000.00 |
| RR LINE AUTOPAY AUTO PYMT 419008770323859 | | 07/24 | 254.09 |
| DDA TRANSFER TO 0110000163 | | 08/02 | 1,000.00 |
| PAYMENT TO CONSUMER FIXED LOAN 22990079 | | 08/06 | 578.78 |
| CON INSURANCE AON 226430011 | | 08/10 | 1,050.00 |

* * * CONTINUED * * *

EXHIBIT C 000393

NOTICE: SEE REVERSE SIDE FOR IMPORTANT INFORMATION

RECORD ALL CHARGES OR CREDITS THAT AFFECT YOUR ACCOUNT

| NUMBER | DATE | DESCRIPTION OF TRANSACTION | PAYMENT/ - DEBIT (-) | ✓ T | FEE (IF ANY) (-) | DEPOSIT/ CREDIT (+) | BALANCE | |
|--------|------|----------------------------|----------------------------|--------|------------------------|---------------------------|---------|----|
| | | | | | | | \$ | ¢ |
| 5469 | 7/21 | MAINT OF WINTER | 10 - | | | | 5736 | 27 |
| | 7/21 | LUNNIN Int 2-1095 | | | | 4200 95 | | |
| | ✓ | Bank | | | | 1000 - | | |
| 70 | 7/25 | CASH G&C | 800 - | | | | 3437 | 02 |
| | | | 45000 - | | | | | |
| 71 | 7/25 | L-ARD NOLGARD | 4971 50 | | | | | |
| | 7/27 | DANIX S&C | | | | 208 75 | 774 | 27 |
| 72 | 7/29 | MGC | 475 01 | | | | | |
| 73 | - | SABURY & Smith | 301 87 | | | | | |
| | | 15 625 LIFE INS | | | | | | |
| 74 | 8/1 | MARIA Amer | 485 76 | | | | | |
| 75 | 7/2 | WILSON HANSON | 81 - | | | | | |
| - | 8/1 | STURLEY | 1000 - | | | | | |
| | 8/1 | CUTS S&C | | | | 36 83 86 | | |
| | ✓ | EXP BANK | | | | 175 66 | 2289 | 85 |
| 76 | - | G&C | 2000 - | | | | 269 | 55 |

REMEMBER TO RECORD AUTOMATIC PAYMENTS/DEPOSITS ON DATE AUTHORIZED

000411

**UNITED STATES BANKRUPTCY COURT
DISTRICT OF MINNESOTA**

BKY No. 01-34638

In re:

Frank Louis Gazzola and Shirley Ann
Gazzola,
Debtors.

UNSWORN CERTIFICATE OF SERVICE

I, Kari L. Fogarty, declare under penalty of perjury that on February 17, 2002, I mailed a copy of the following documents:

1. Notice of Hearing and Motion for Turnover of Property; and
2. Order (proposed)

by first class mail, postage prepaid to each entity named below at the address stated below for each entity:

Frank Louis Gazzola
1700 Mary Lane
North Mankato, MN 56003

Shirley Ann Gazzola
1700 Mary Lane
North Mankato, MN 56003

Michael J. Iannacone
Iannacone Law Office
444 Cedar Street, Suite 575
St. Paul, MN 55101-2133

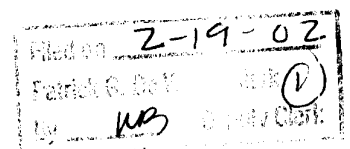
Office of the United States Trustee
1015 U.S. Courthouse
300 South Fourth Street
Minneapolis, MN 55415

Jonathan S. Parritz
Maslon, Edelman, Borman & Brand
3300 Wells Fargo Center
90 South Seventh Street
Minneapolis, MN 55402-4140

Charles W. Ries
Maschka Riedy & Ries
P.O. Box 7
Mankato, MN 56002-0007

Ralph V. Mitchell
Duckson, Carlson, Bassinger & Mitchell
2100 Metropolitan Centre
333 South Seventh Street
Minneapolis, MN 55402

Steve Anderson
Ruder Ware & Michler
P.O. Box 8050
Wausau, WI 54402-8050



Randall C. Berkland
Blethen, Gage & Krause, PLLP
P.O. Box 3049
Mankato, MN 56002-3049

James J. Dailey
Dailey Law Office
101 East Hickory
3 Civic Center Plaza #400
Mankato, MN 56001-7791

Jeff C. Braegelmann
Gislason & Hunter, LLP
P.O. Box 458
New Ulm, MN 56073-0458

FULLER, SEAYER & RAMETTE, P.A.

Dated: February 17, 2002

By: Kari L. Fogarty
Kari L. Fogarty
Legal Secretary
12400 Portland Avenue South, Suite 132
Burnsville, MN 55337
(952) 890-0888

**UNITED STATES BANKRUPTCY COURT — DISTRICT OF MINNESOTA
LOCAL ADVERSARY PROCEEDING COVER SHEET**

| | | |
|---|--|--|
| ADVERSARY PROCEEDING NUMBER (COURT USE ONLY) | | |
| PLAINTIFFS (Name and address) Randall L. Seaver, Trustee 12400 Portland Avenue South, Suite 132 Burnsville, MN 55337 | DEFENDANTS (Name and address) Frank Louis Gazzola 1700 Mary Lane North Mankato, MN 56003 | |
| ATTORNEYS (Name, firm name, address, telephone) Randall L. Seaver Fuller, Seaver & Ramette, P.A. 12400 Portland Avenue South, Suite 132 Burnsville, MN 55337 (952) 890-0888 | ATTORNEYS (Name, firm name, address, telephone) Michael J. Iannacone Iannacone Law Office 444 Cedar Street, Suite 575 St. Paul, MN 55101-2133 | |
| PARTY (Check one box only) <input type="checkbox"/> 1 U.S. PLAINTIFF <input type="checkbox"/> 2 U.S. DEFENDANT <input checked="" type="checkbox"/> 3 U.S. NOT A PARTY | | |
| NATURE OF SUIT (Check ALL appropriate boxes.) | | |
| <input type="checkbox"/> 426 Complaint to determine dischargeability of <u>one</u> debt under § 523. <input type="checkbox"/> 459 Notice Of Removal to remove this action to the Bankruptcy Court under § 1452. <input checked="" type="checkbox"/> 424 Complaint to deny or revoke a discharge of <u>all</u> debts of the debtor under § 727. <div style="display: flex; justify-content: space-between; font-size: small;"> <div><input type="checkbox"/> 454 Recover Money or Property</div> <div><input type="checkbox"/> 458 Sale of co-owner's interest</div> <div><input type="checkbox"/> 435 Determine Validity, Priority, or Extent of a Lien</div> <div><input type="checkbox"/> 455 Revoke confirmation of a Plan</div> </div> <div style="display: flex; justify-content: space-between; font-size: small;"> <div><input type="checkbox"/> 434 Obtain an injunction</div> <div><input type="checkbox"/> 456 Declaratory judgment</div> <div><input type="checkbox"/> 457 Subordinate allowed claim</div> <div><input type="checkbox"/> 498 Other (specify)</div> </div> | | |
| CAUSE OF ACTION (IF UNCERTAIN ABOUT WHICH BOX TO CHECK, BRIEFLY STATE THE CAUSE OF ACTION AND THE STATUTE INVOLVED.) | | |
| ORIGIN OF PROCEEDINGS | <input checked="" type="checkbox"/> 1 Original Proceeding <input type="checkbox"/> 2 Removed Proceeding <input type="checkbox"/> 3 Reinstated or Reopened <input type="checkbox"/> 4 Transferred from Another Bankruptcy Court | |
| DEMAND \$ | OTHER RELIEF SOUGHT | <input type="checkbox"/> CHECK IF THIS IS A CLASS ACTION <input type="checkbox"/> JURY DEMAND |
| BANKRUPTCY CASE NUMBER 01-34638 | | DEBTOR Frank & Shirley Gazzola |
| DISTRICT AND DIVISION IN WHICH CASE IS PENDING Third Minnesota | | NAME OF JUDGE O'Brien |
| RELATED ADVERSARY PROCEEDING (IF ANY) | | |
| PLAINTIFF | DEFENDANT | ADVERSARY PROCEEDING NUMBER |
| DISTRICT | DIVISIONAL OFFICE | NAME OF JUDGE |
| FILING FEE (Check one box only) <input checked="" type="checkbox"/> FEE ATTACHED <input type="checkbox"/> FEE NOT REQUIRED <input type="checkbox"/> FEE DEFERRED | | |
| DATE 2-18-02 | PRINT NAME Randall L. Seaver | SIGNATURE OF ATTORNEY (OR PLAINTIFF) |